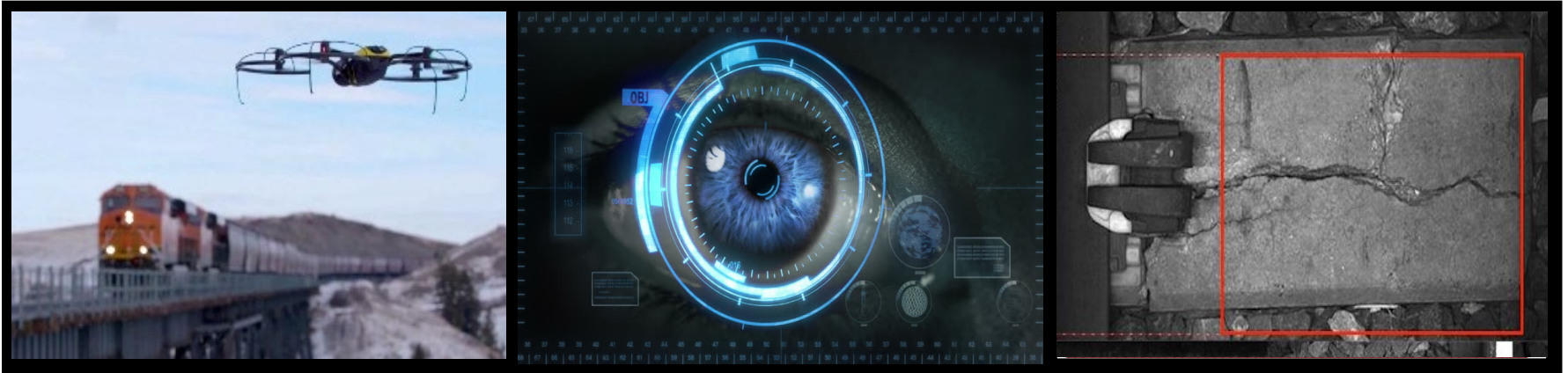


# Railroad Infrastructure 4.0:

## Development and Application of an Automatic Ballast Support Condition Assessment System



The 3rd Workshop on Railway Operation for Safety and Reliability

November 29, 2018



UNIVERSITY OF  
SOUTH CAROLINA

Yu Qian, Ph.D.  
Assistant Professor  
University of South Carolina



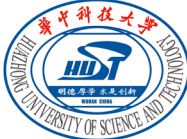
# Biography

## Current Position:

- Assistant Professor  
Department of Civil & Environmental Engineering  
University of South Carolina



## Education:

- Ph.D., Civil Engineering  
University of Illinois at Urbana-Champaign  (2014)
- M.S., Theoretical and Applied Mechanics  
University of Illinois at Urbana-Champaign  (2013)
- M.S.(Honor), Civil Engineering  
University of Kansas  (2009)
- B.S., Civil Engineering  
Huazhong University of Science & Technology  (2008)
- B.S., Construction Management  
Wuhan University  (2008)

# The State of South Carolina

**South Carolina: One of the 13 Original US Colonies.**



([http://en.wikipedia.org/wiki/Hong\\_Kong](http://en.wikipedia.org/wiki/Hong_Kong))

([https://en.wikipedia.org/wiki/Richland\\_County,\\_South\\_Carolina](https://en.wikipedia.org/wiki/Richland_County,_South_Carolina))



# The University of South Carolina

The **University of South Carolina** (established in 1801) is a public university in Columbia, South Carolina, United States, with seven satellite campuses. Its main campus covers over 359 acres (145 ha) in downtown Columbia.





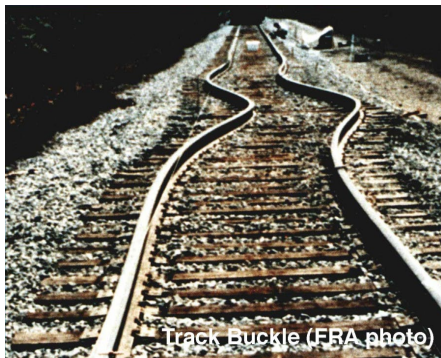
# Outline

- Motivation
- Approach
- Laboratory validation
- Field Application
- Future Development



# Railroad Ballast

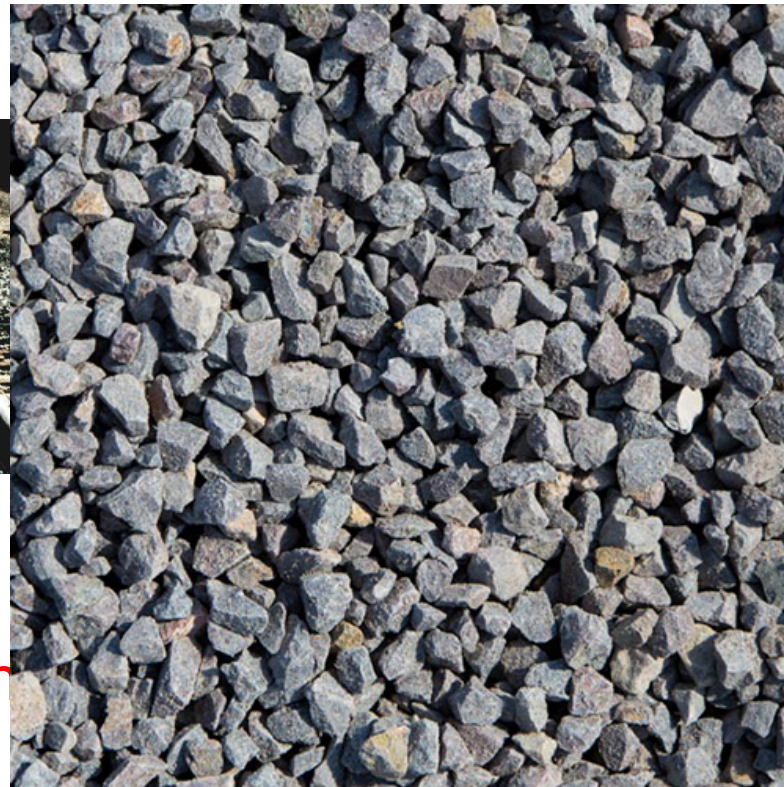
- Typically “uniformly” graded unbound aggregates
- Similar to highway base course
- **NOT a continuous medium**



Track Buckle (FRA photo)

(FRA)

Lateral stability



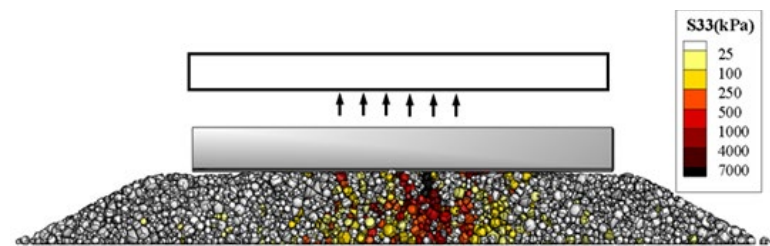
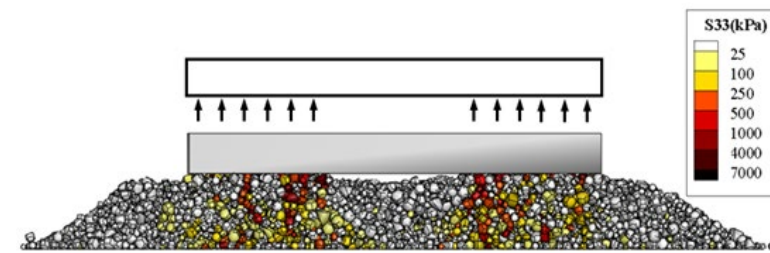
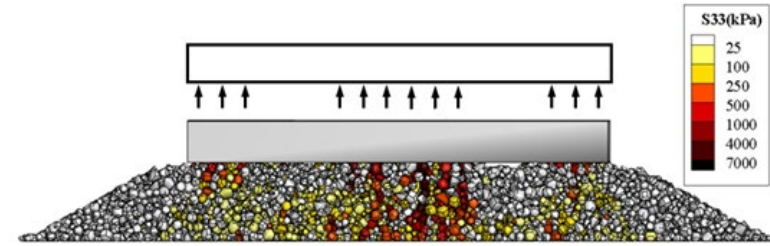
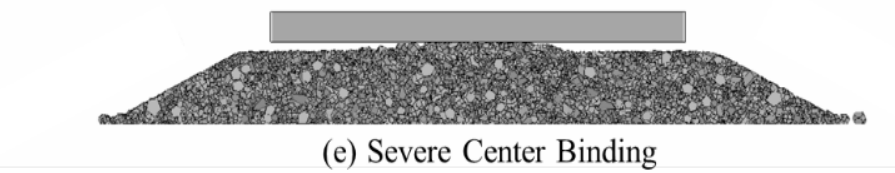
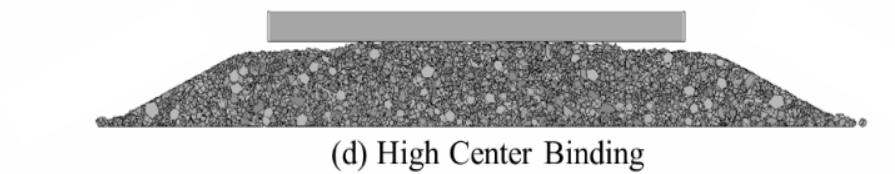
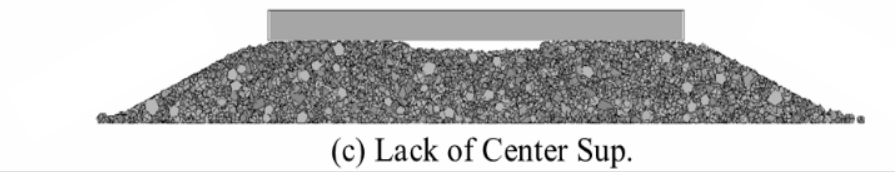
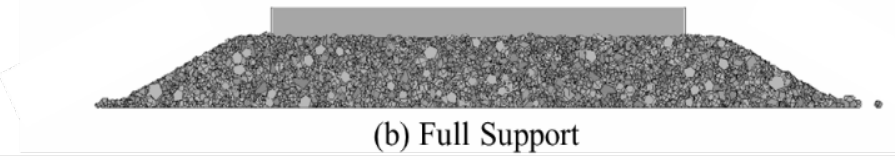
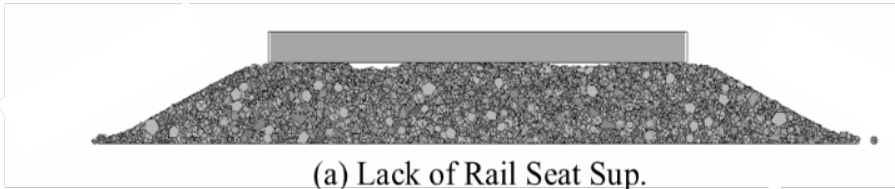
(KTX)

Ballast flying

Th

niform

# Ballast Support Issue



(Courtesy of Bin Feng & Wenting Hou)



# Ballast Support Issue



How to detect different support conditions in the field?



# Ballast Support Issue



How to reasonably detect different support conditions in the field?

# Ballast Support Condition Detection



- **Problems:** 1) Destructive Test  
2) High Failure Rate  
3) Unreliable Results  
4) Expensive in terms of time and labor

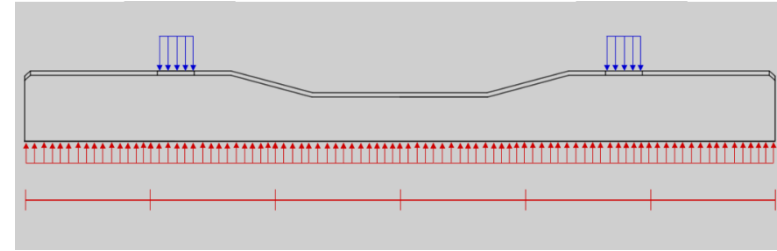
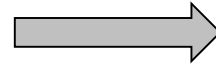
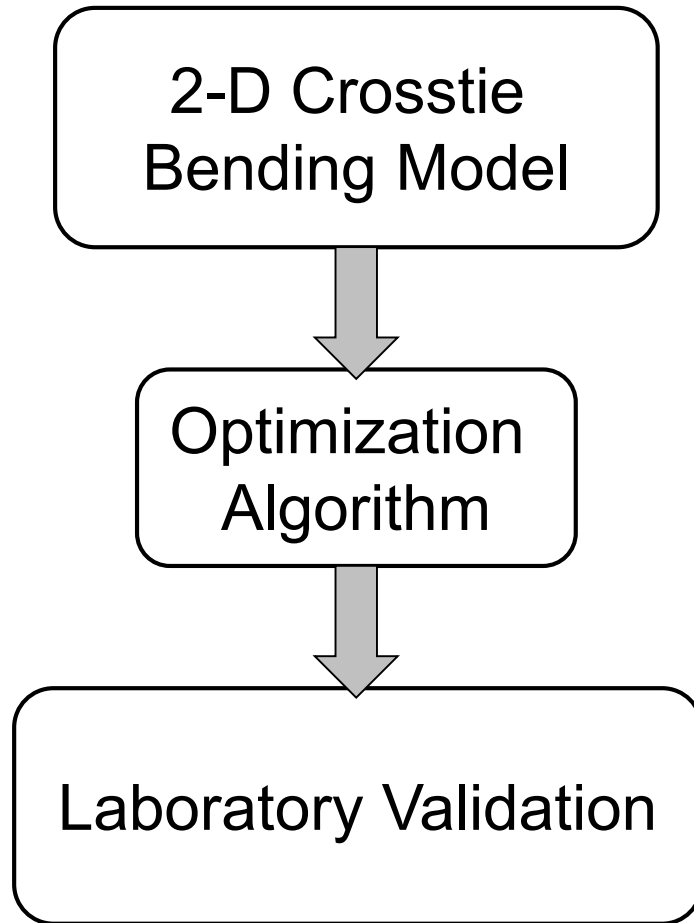


# Research Objectives

- **Objective:** Develop a non-intrusive method to quantify support conditions and their variation over time/tonnage
- **Purpose:** Provide rail industry with a tool to better prioritize surfacing
- **Challenge:** It is inherently difficult to quantify the pressure distribution at the crosstie-ballast interface

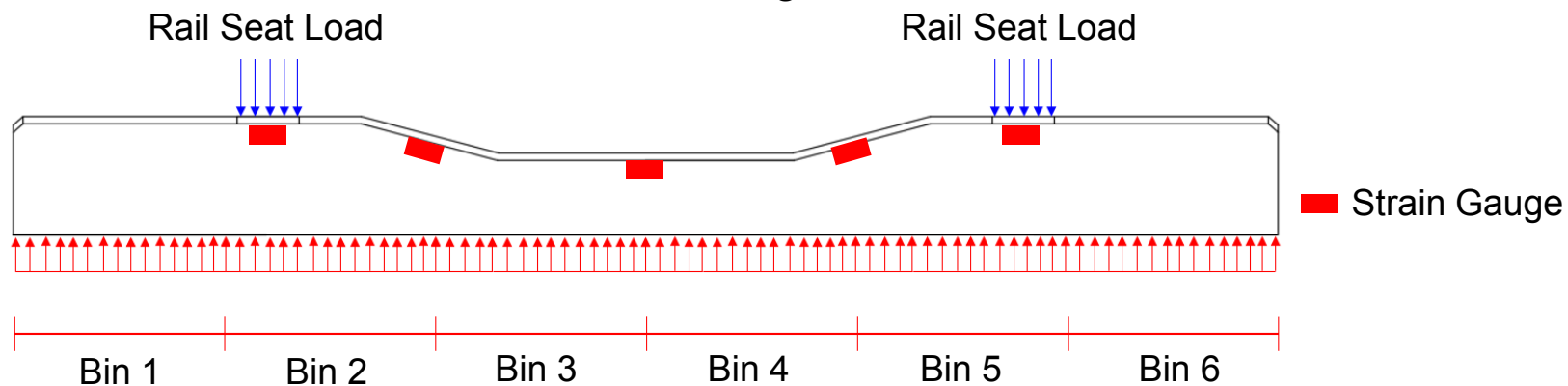


# Support Condition Back-Calculator Facts



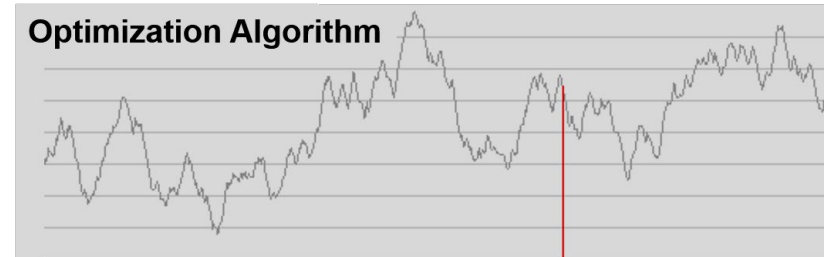
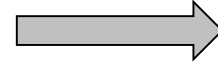
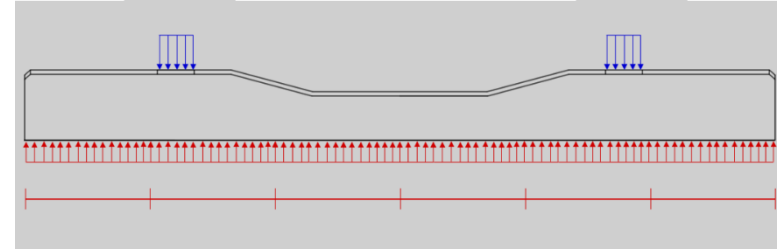
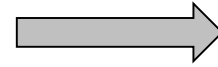
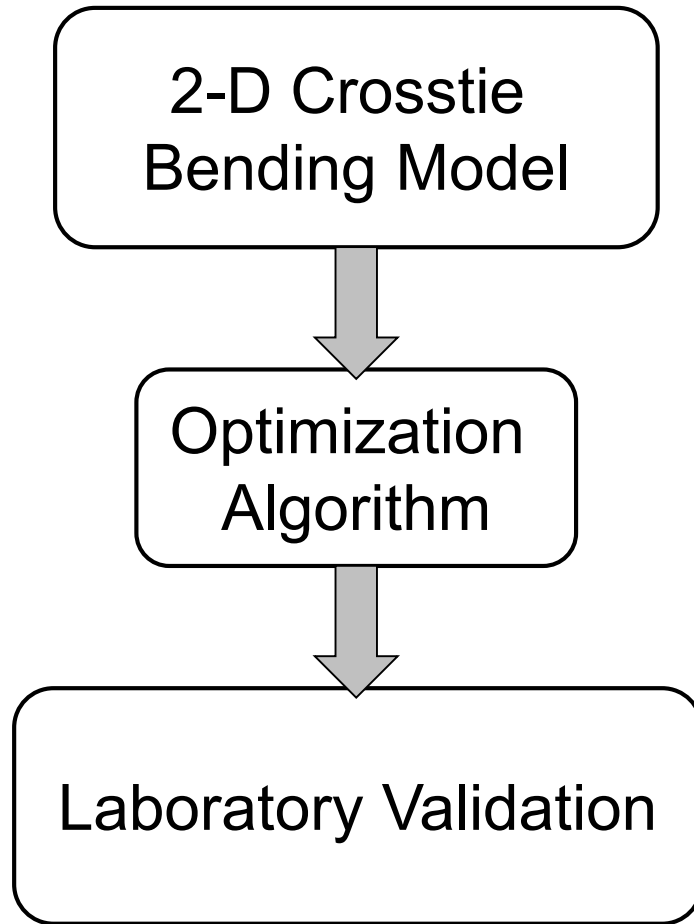
# 2-D Crosstie Bending Model

- Crosstie divided into 6 bins of equal width:
  - Each bin consists a percentage of total reaction force
- 9 model inputs:
  - Known bending moments from 7 locations (5 from strain gauges, 2 from end conditions)
  - 2 approximated rail seat loads (from load cell, WILD, or rail-mounted strain gauges)
    - Rail seat load is assumed to be uniformly distributed across rail seat
- 2 boundary conditions:
  - Force equilibrium (all bins should sum to approximately 100%)
  - Force value for each bin should not be negative



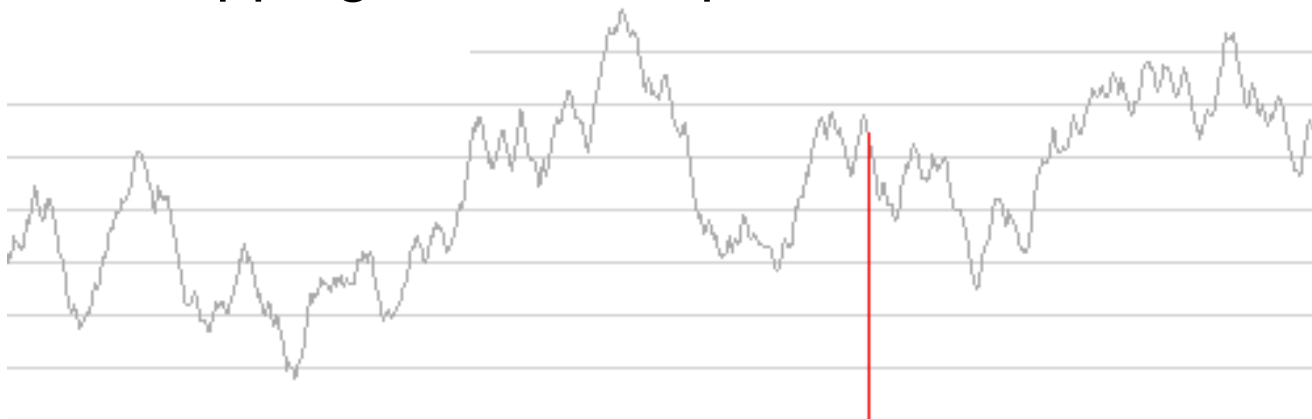


# Support Condition Back-Calculator Facts

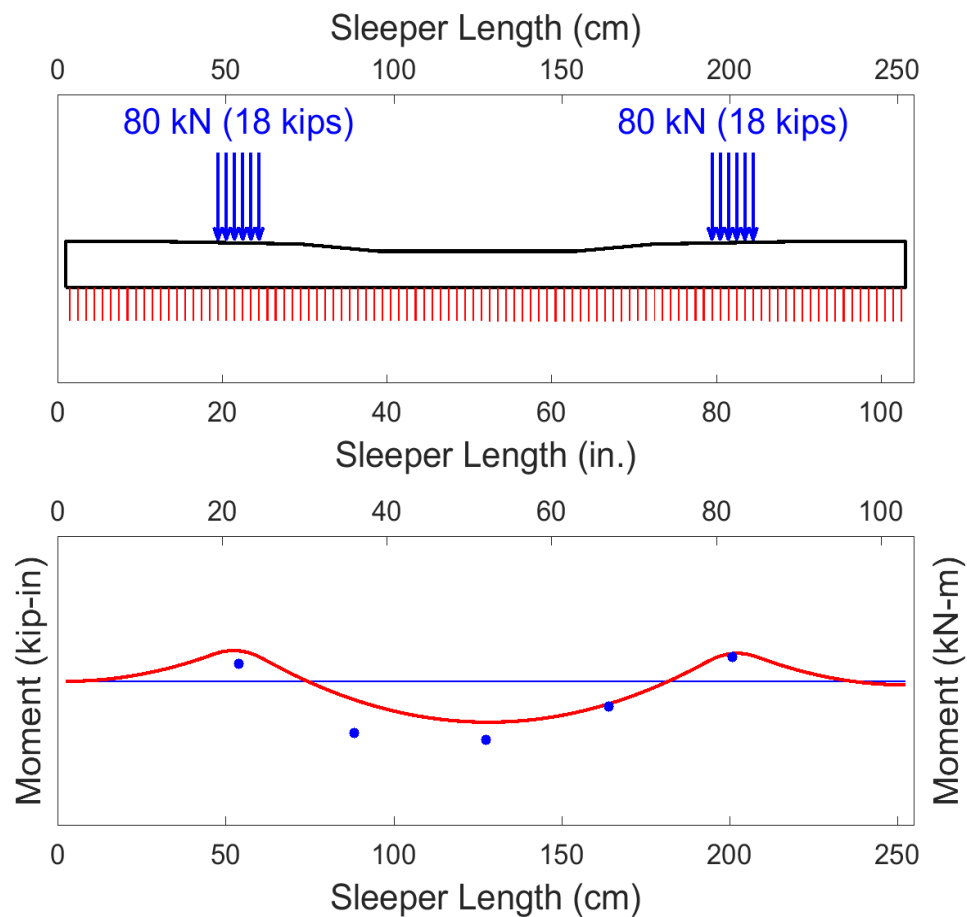


# Optimization Algorithm: *Simulated Annealing*

- **Definition:**
  - A probabilistic technique for approximating the global optimum of a given function
- **Benefits:**
  - Has a probability of accepting a “worse” solution
  - Pareto distribution is chosen as random variable generator
  - Avoids stopping at a local optimum



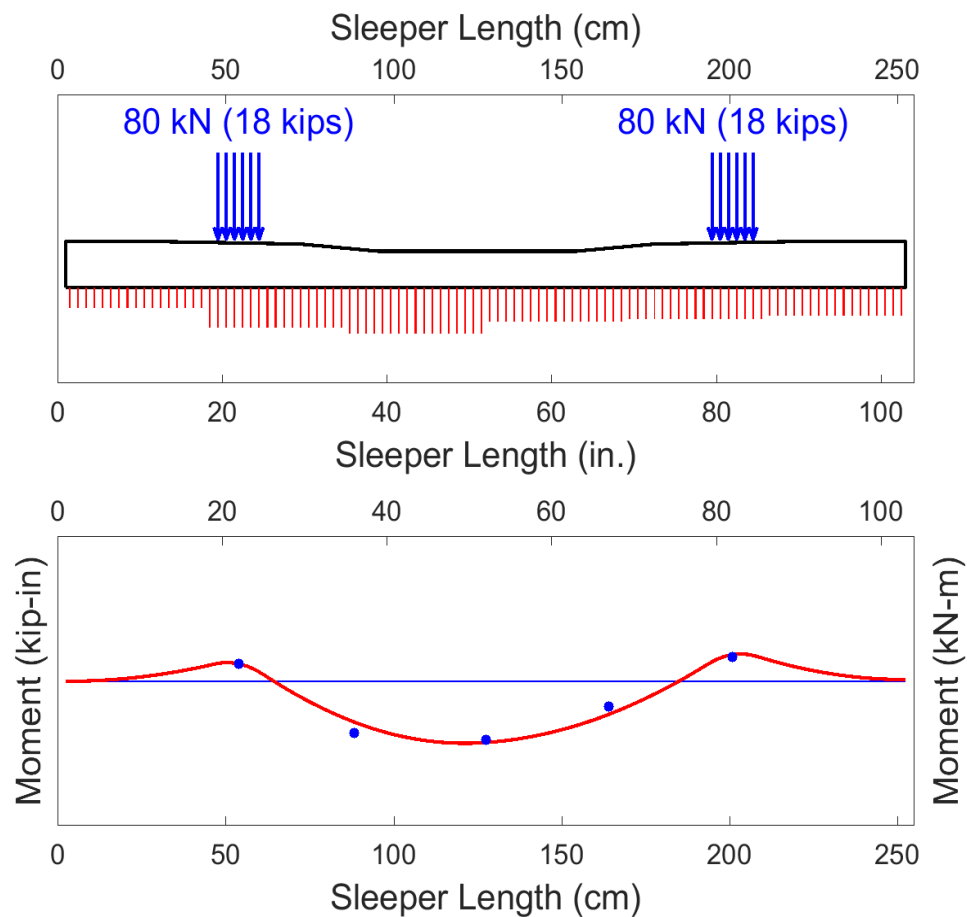
# 2-D Crosstie Bending Model



Initial condition, iteration step 0

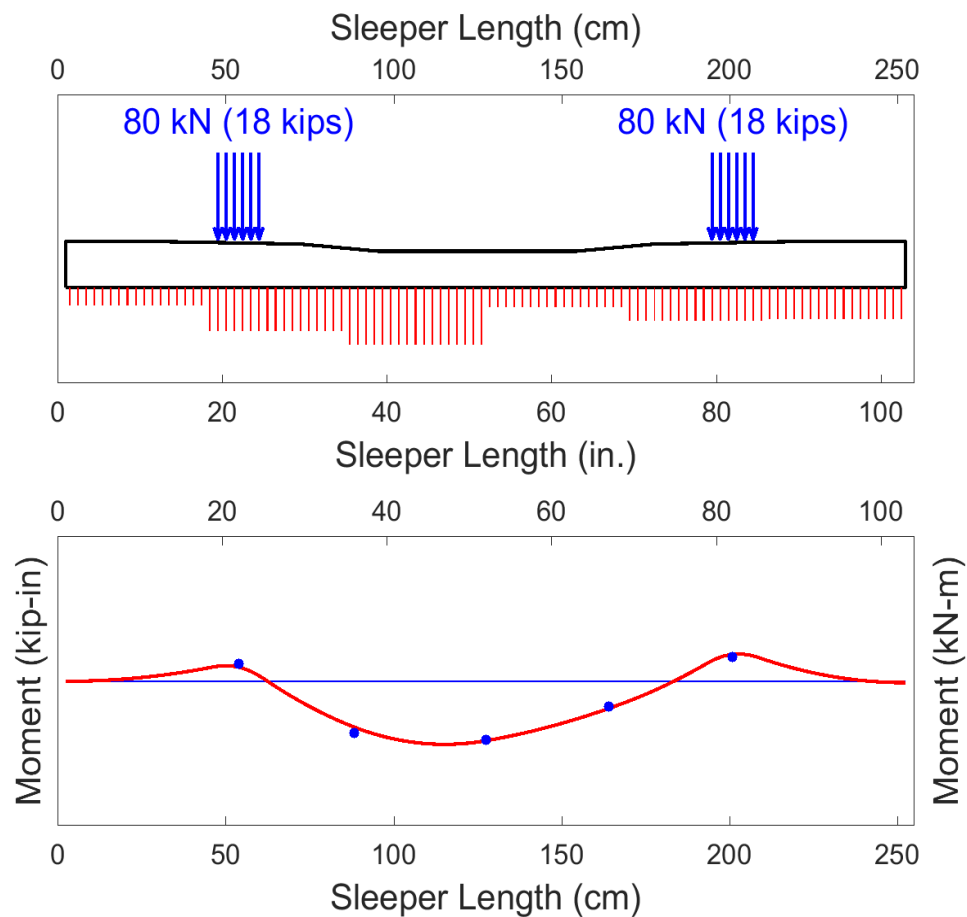


# 2-D Crosstie Bending Model



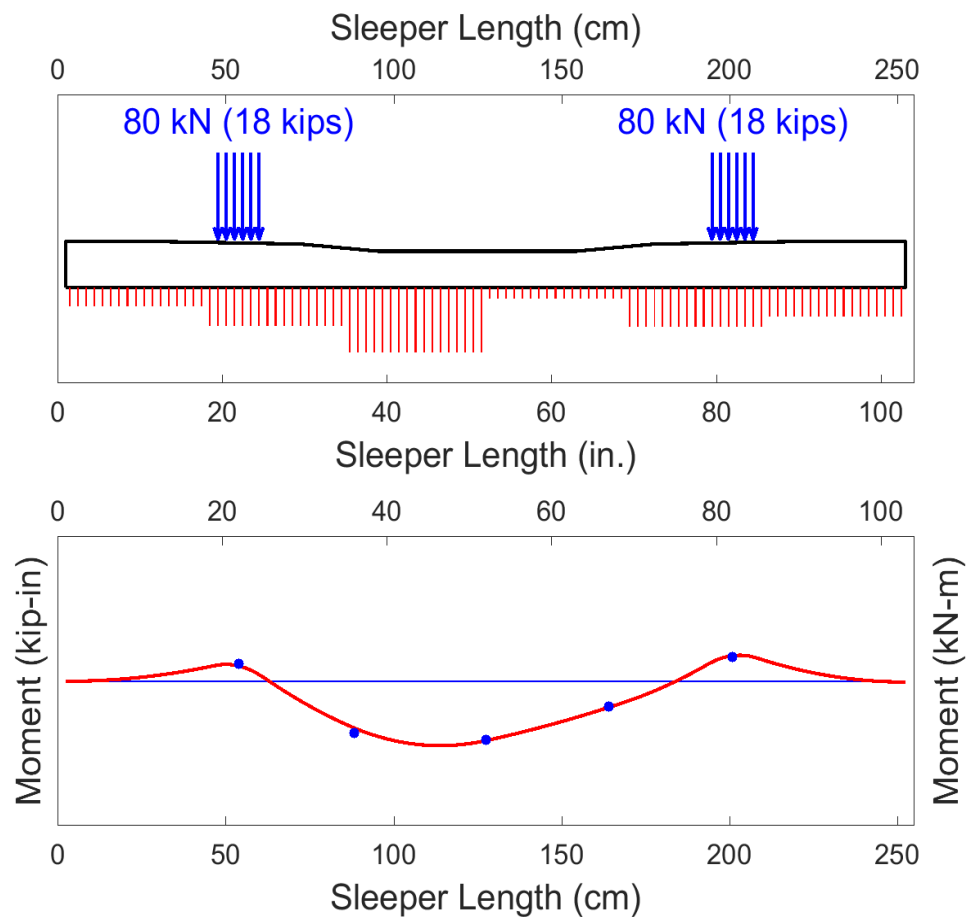
Initial condition, iteration step 100

# 2-D Crosstie Bending Model



Initial condition, iteration step 200

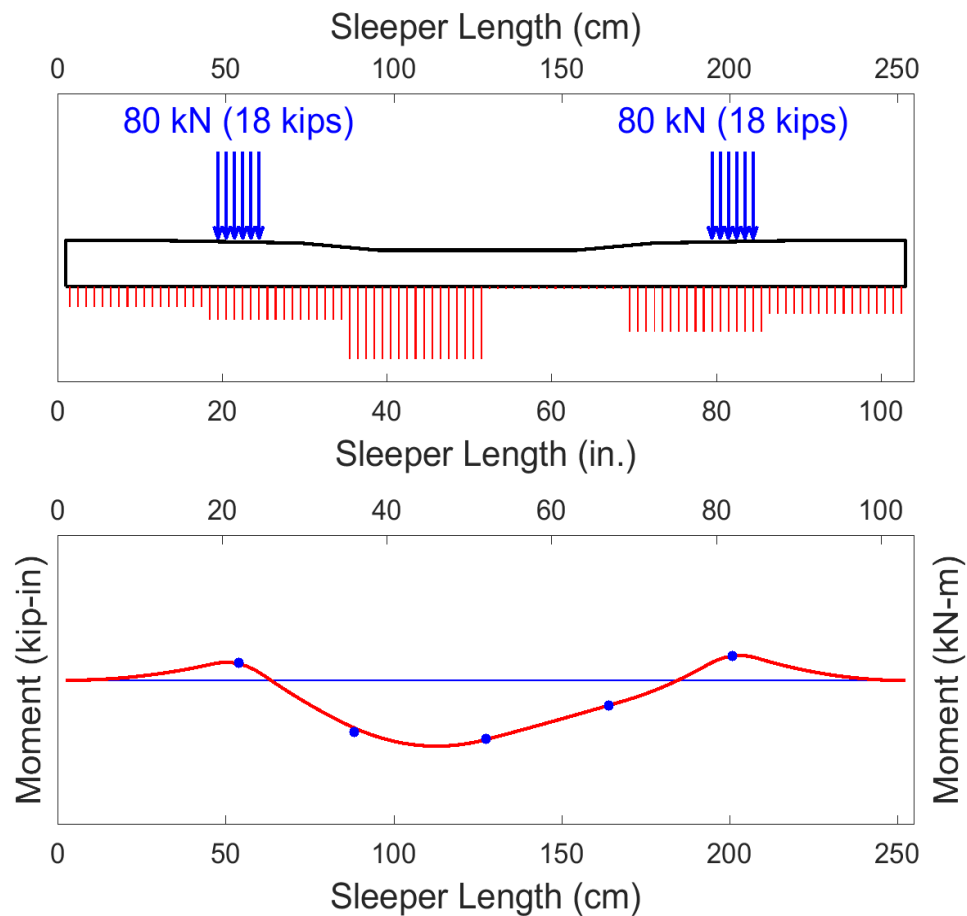
# 2-D Crosstie Bending Model



Initial condition, iteration step 300

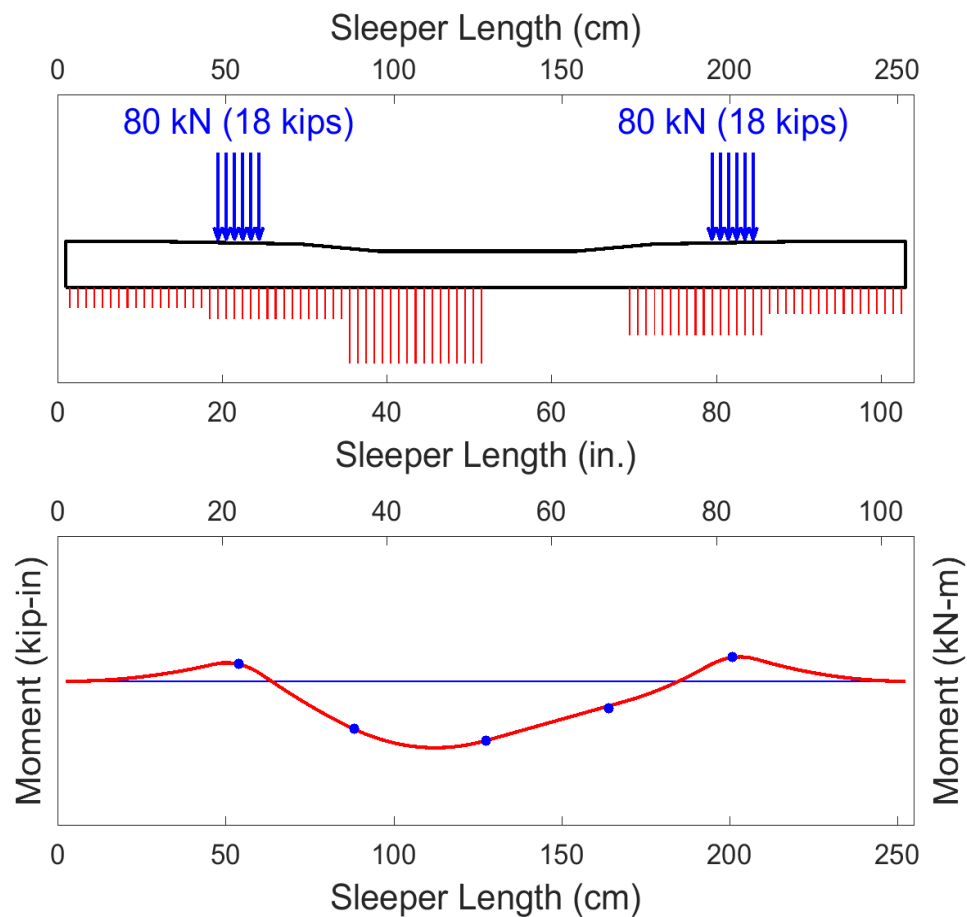


# 2-D Crosstie Bending Model



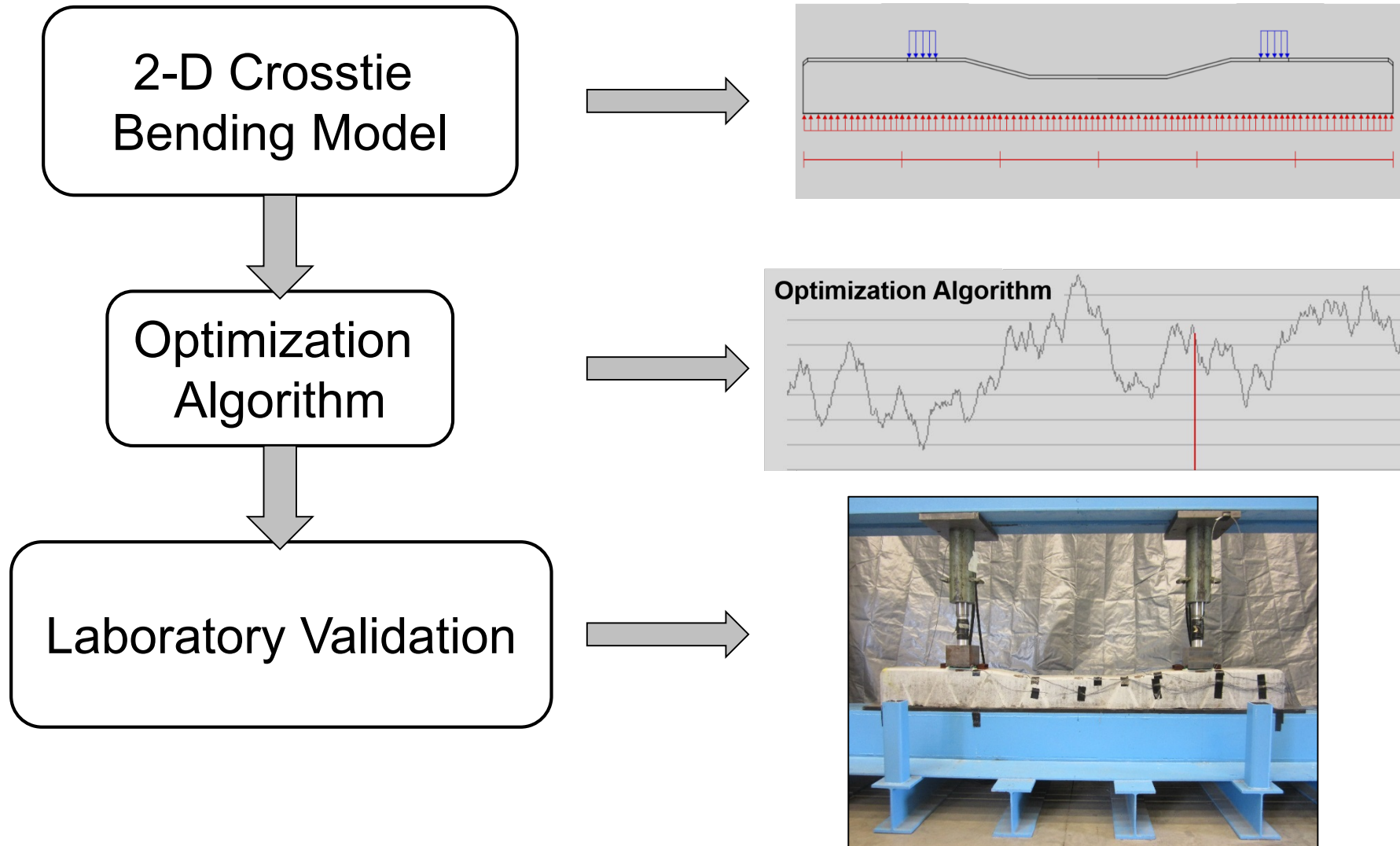
Initial condition, iteration step 400

# 2-D Crosstie Bending Model



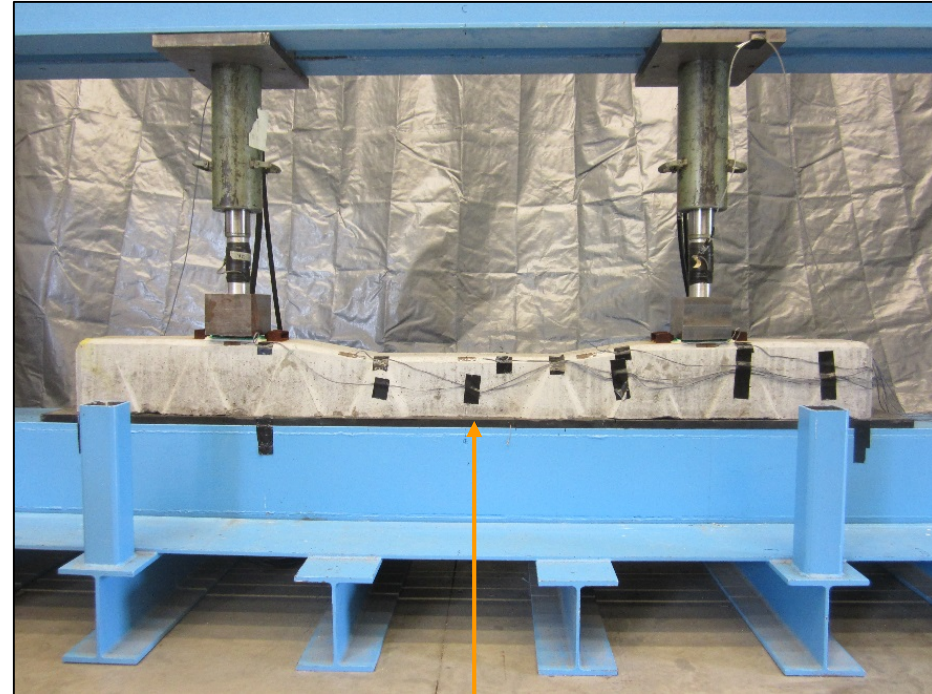
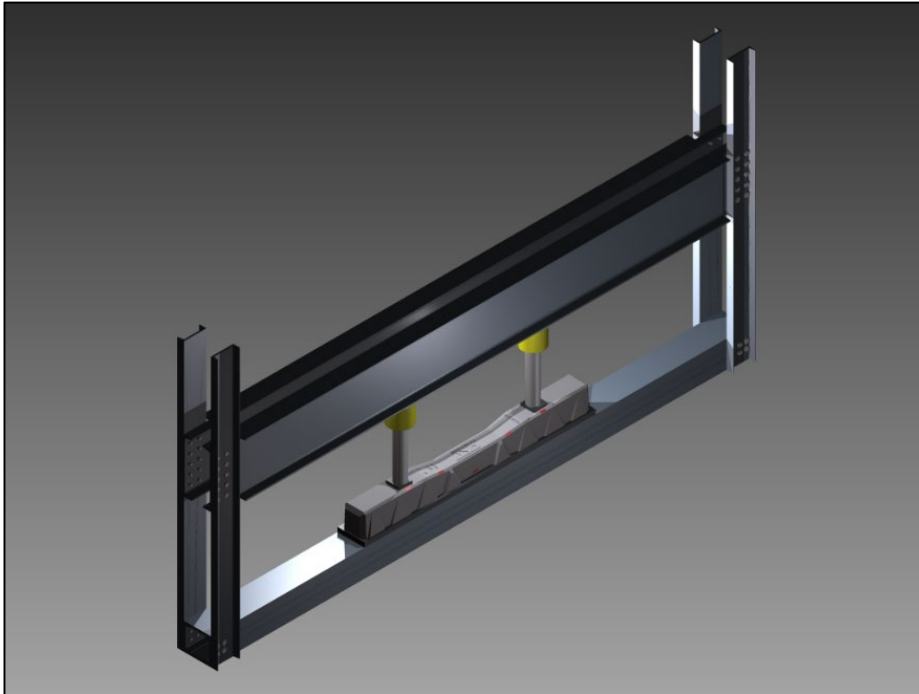
Initial condition, iteration step 550

# Support Condition Back-Calculator Facts

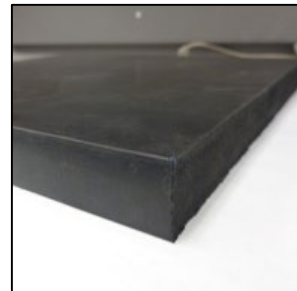


# Laboratory Experimentation Equipment

- Loading frame - Static Load Testing Machine (SLTM) at RAIL



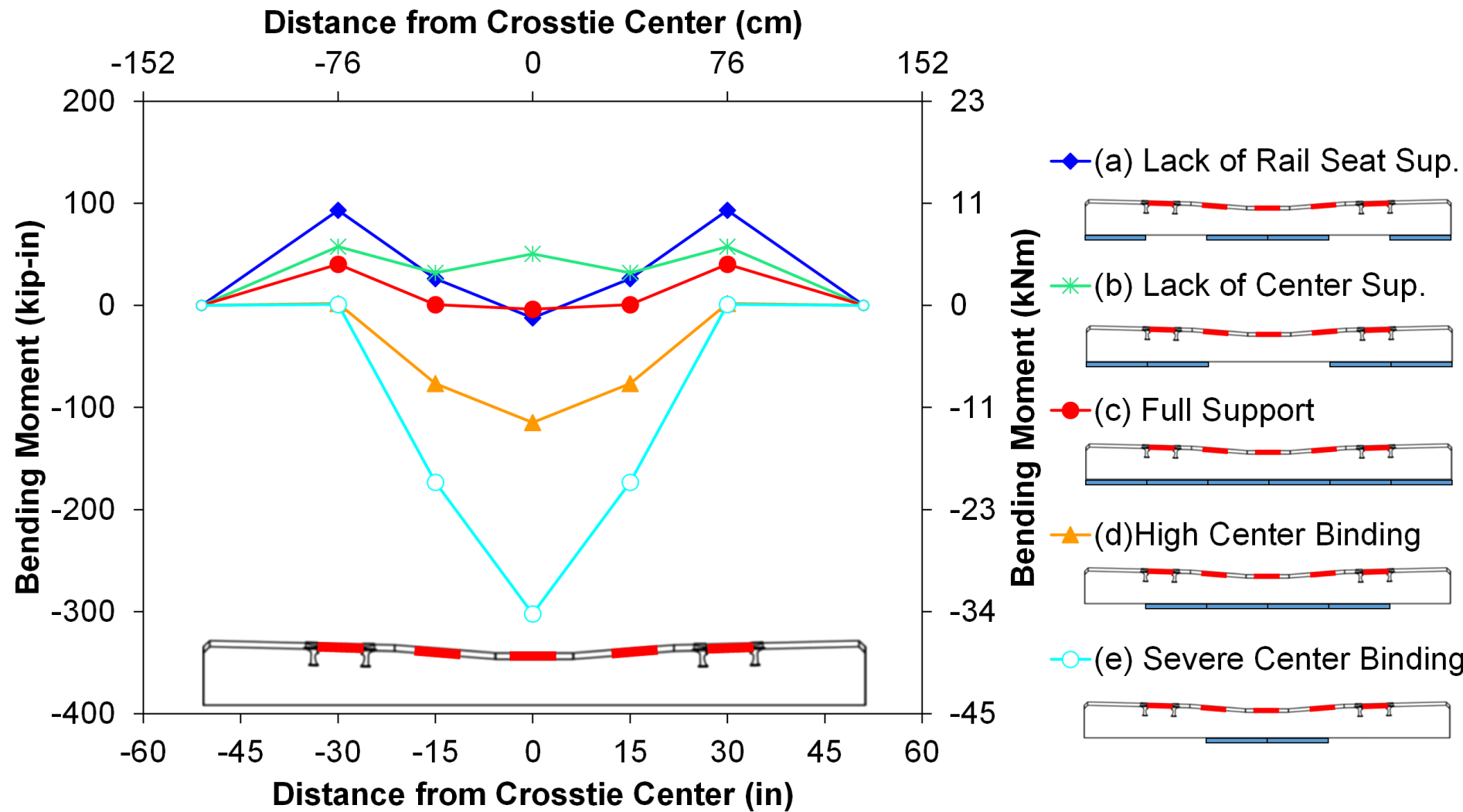
- Supporting rubber pads





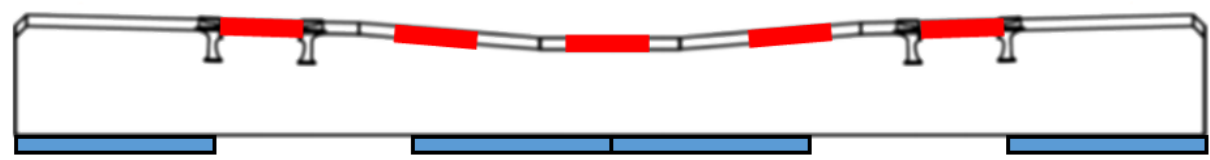
# Influence of Support Condition on Crosstie Bending Moments

*Rail Seat Load: 10 kips (44.5 kN), Healthy Crosstie*

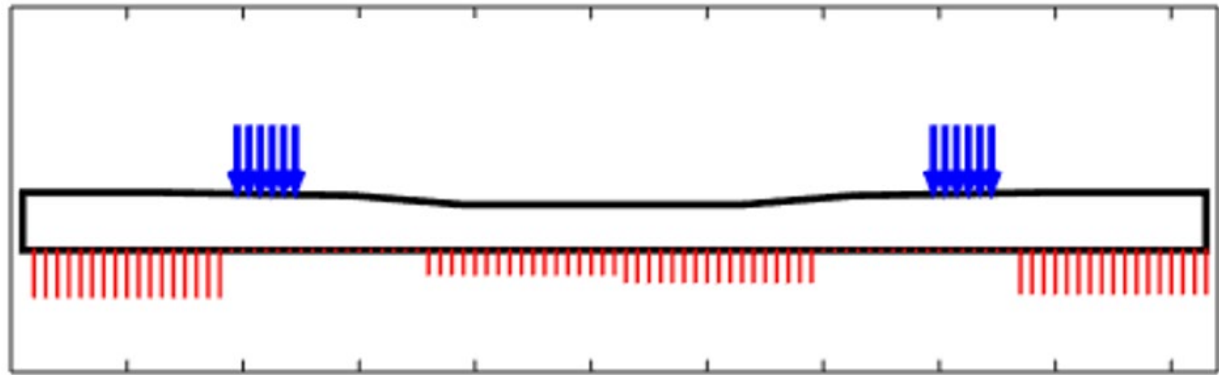


# Lab Setup and Back-Calculator Result: *Lack of Rail Seat Support*

Lab Setup

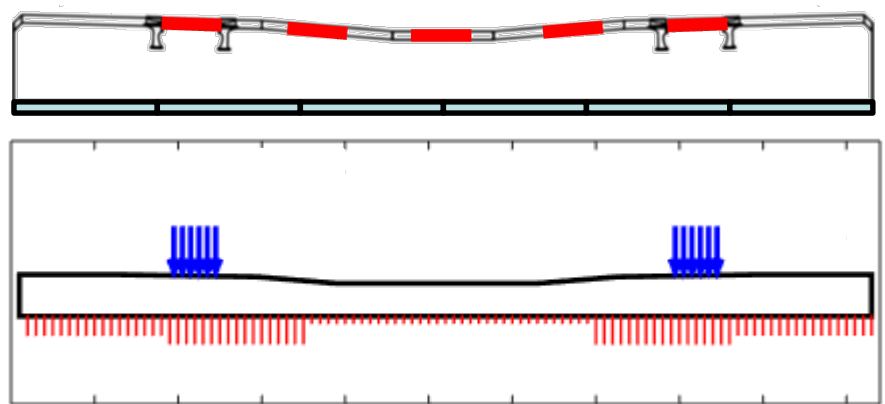


Back-Calculator Result

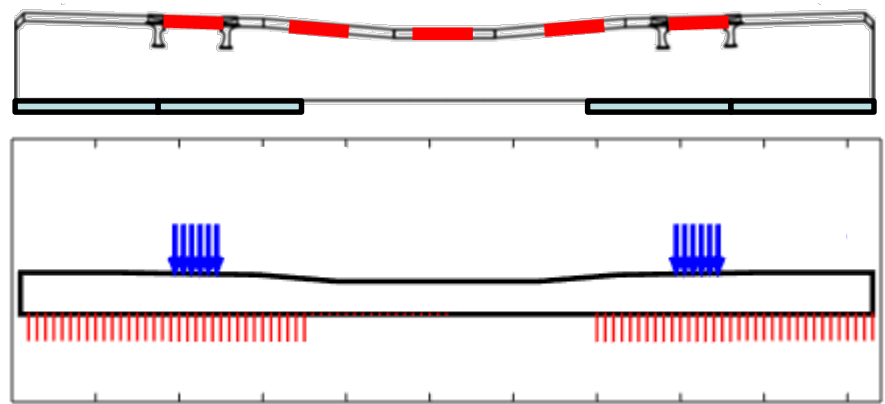


# Comparison between Lab Support Conditions and Back-Calculator Results

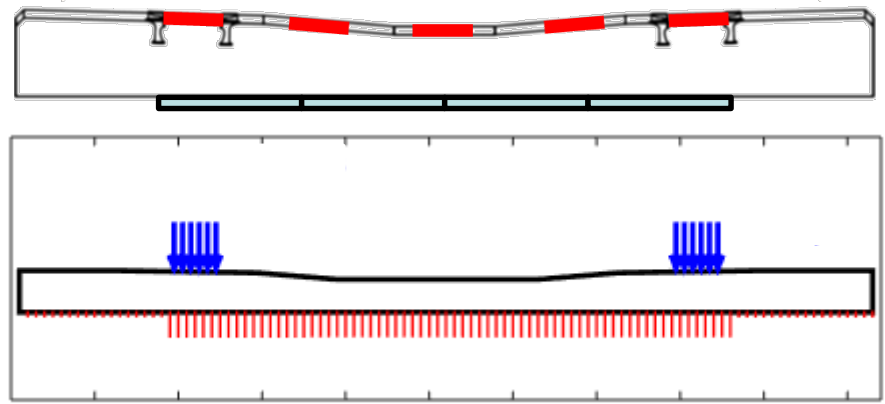
Full Support



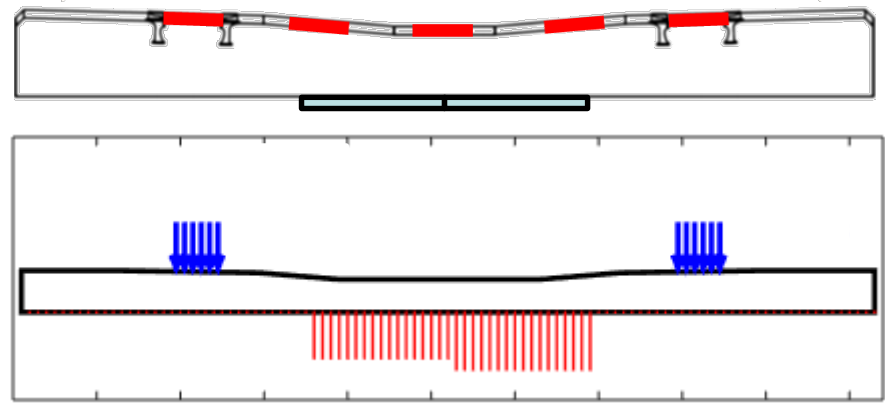
Lack of Center Support



Light Center Binding

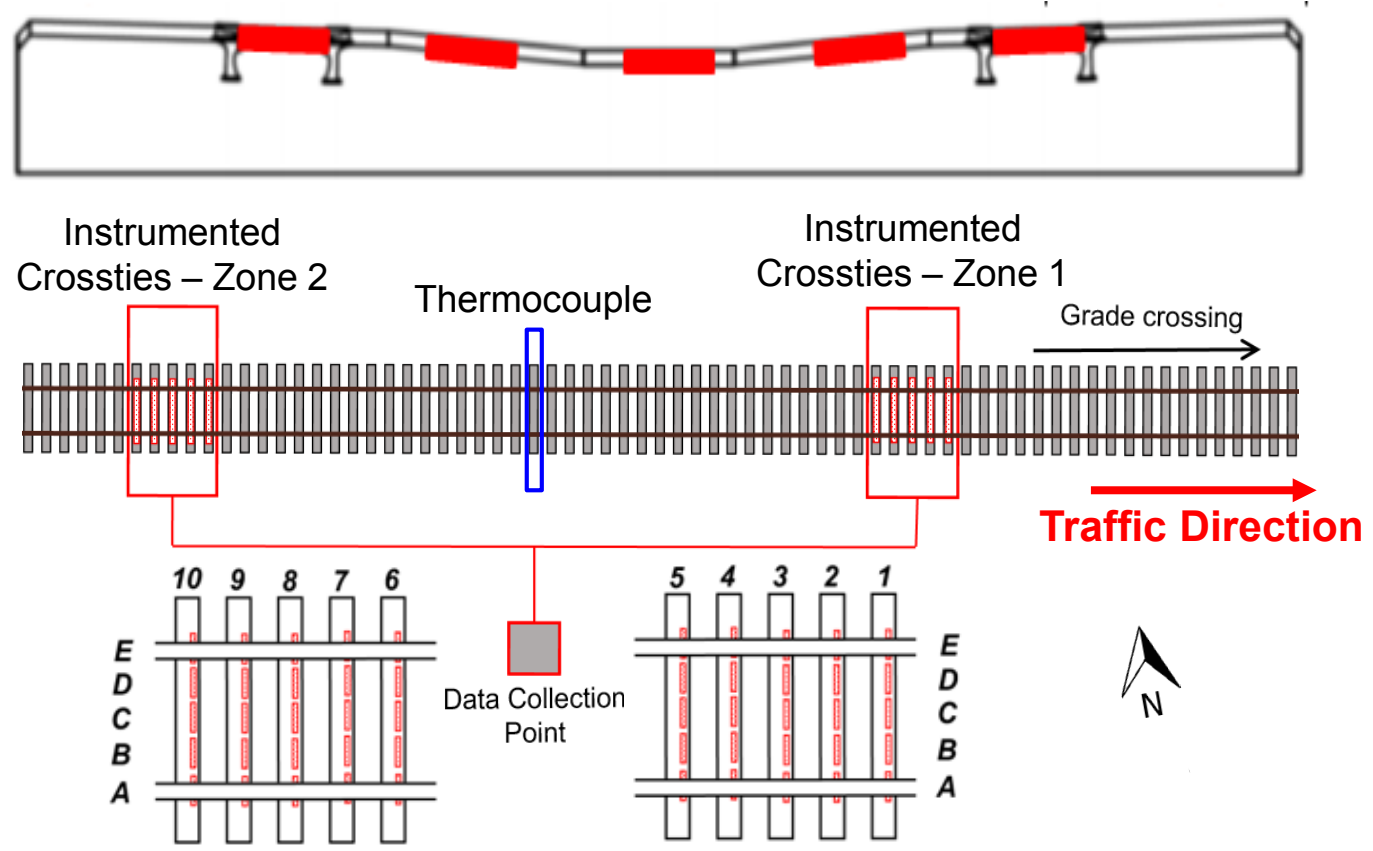


High Center Binding



# Field instrumentation Site Layout

- 50 surface strain gauges installed on 10 crossties



- Nearby Wheel Impact Load Detector (WILD) site provides wheel load data



# Ballast Pressure Limit States

- Ballast pressure calculated based on uniform reaction assumption: **32 psi**
- AREMA allowable ballast pressure under concrete crossties: **85 psi**
- Ballast pressure calculated based on AREMA allowable subgrade bearing stress (25 psi) using Talbot equation: **55 psi**

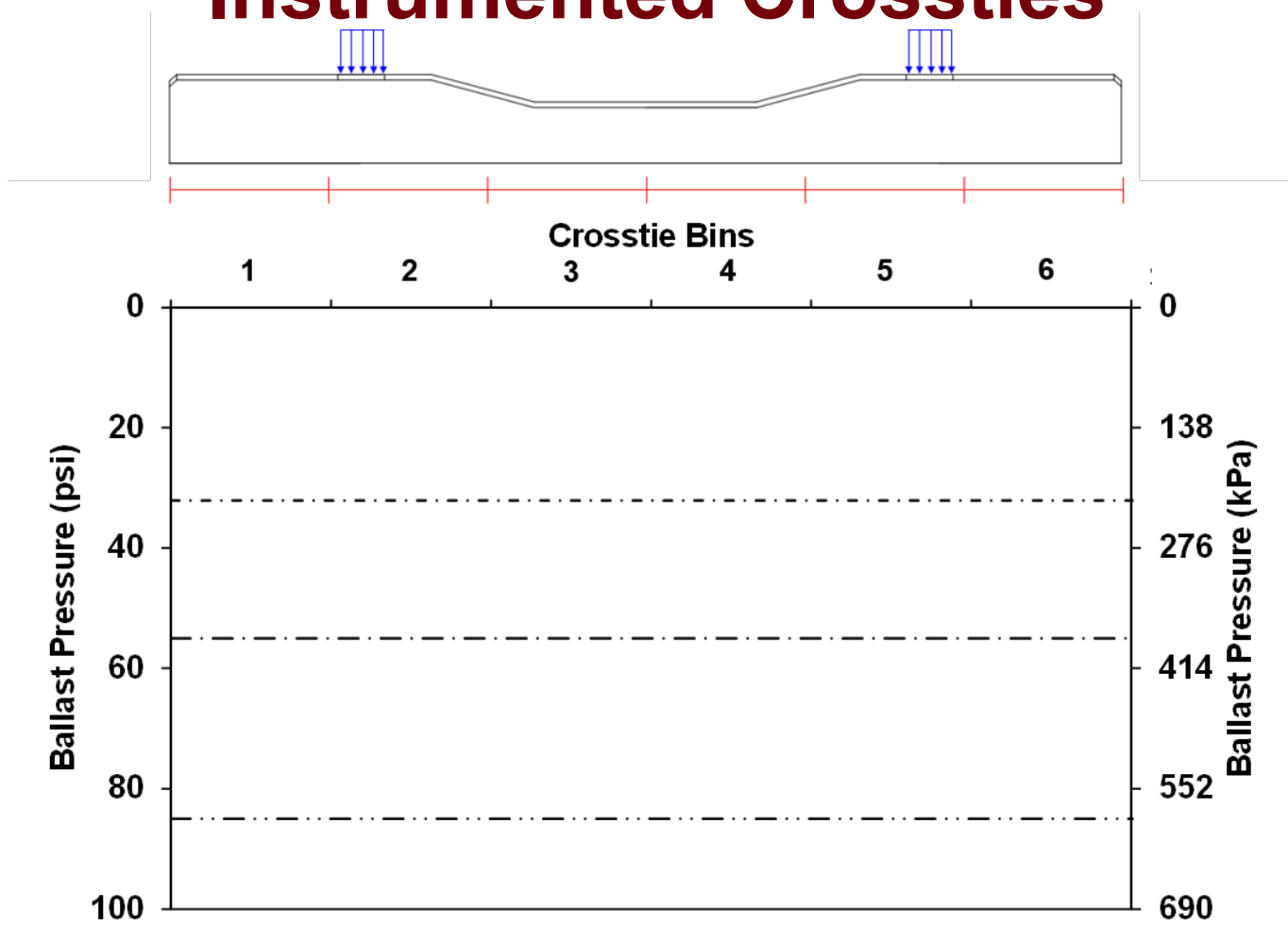
$$h = \left( \frac{16.8p_a}{p_c} \right)^{4/5}$$

Where,  $h$  = Support ballast depth

$p_a$  = Stress at bottom of tie (top of ballast)

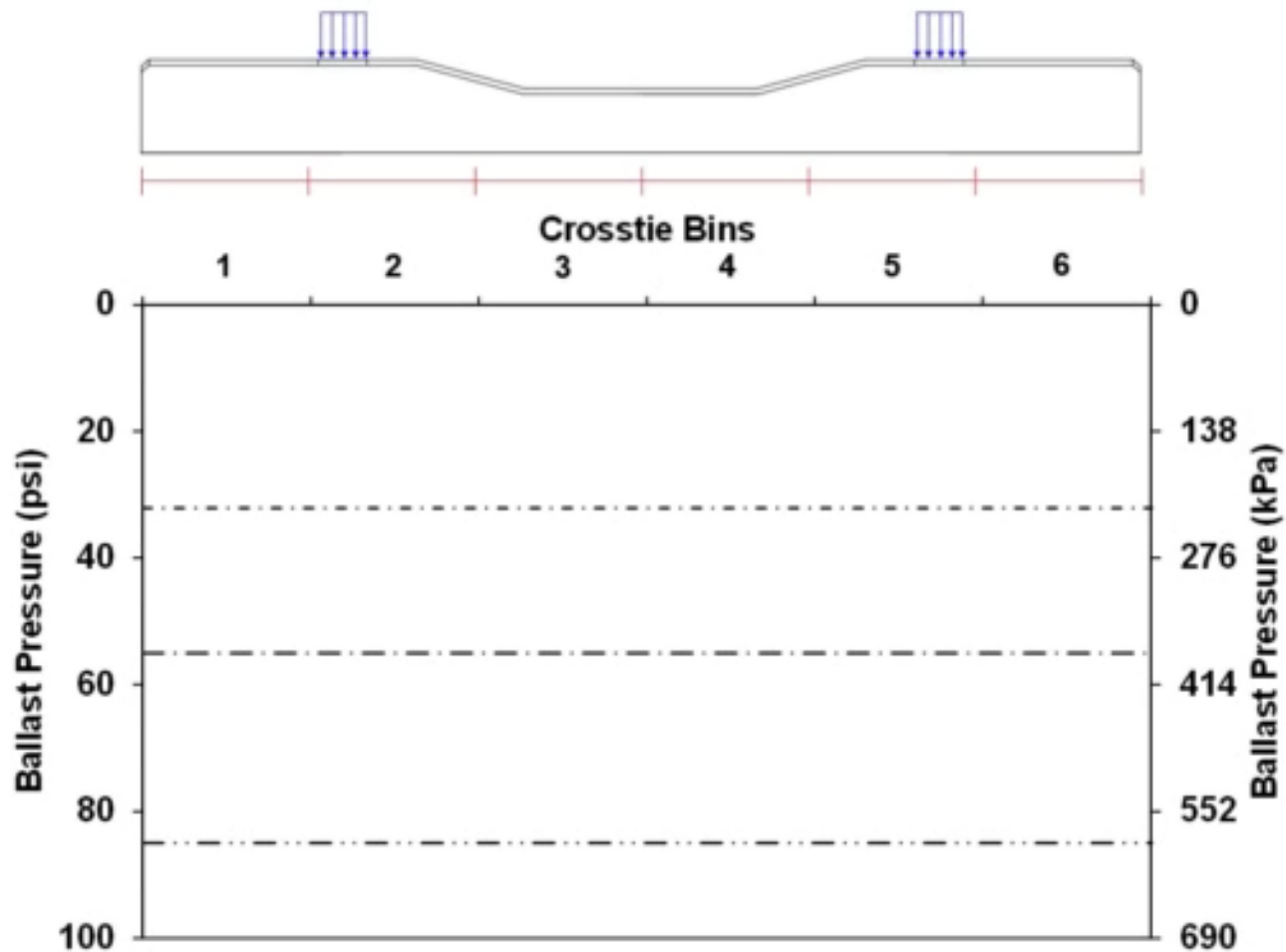
$p_c$  = Allowable subgrade stress

# Distribution of Ballast Pressure for Instrumented Crossties



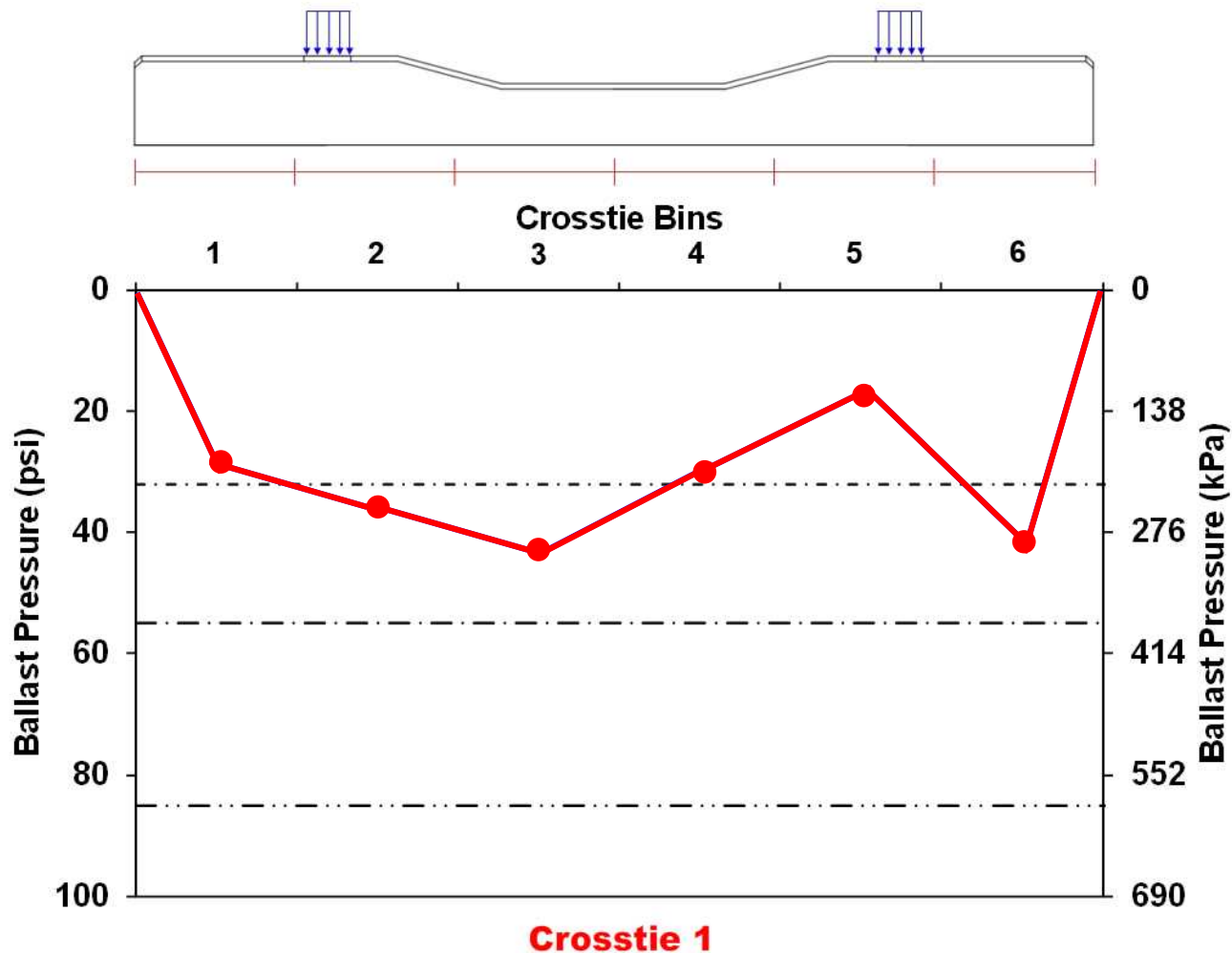
- - - Calculated Ballast Pressure Based on Uniform Support Assumption
- . - Calculated Ballast Pressure Based on AREMA Allowable Subgrade Bearing Stress
- . . AREMA Allowable Ballast Surface Stress under Concrete Crosstie

# Distribution of Ballast Pressure under Loaded Axle: 8:00 AM, 5/26/2015



- - - Calculated Ballast Pressure Based on Uniform Support Assumption
- - - Calculated Ballast Pressure Based on AREMA Allowable Subgrade Bearing Stress
- - - AREMA Allowable Ballast Surface Stress under Concrete Crosstie

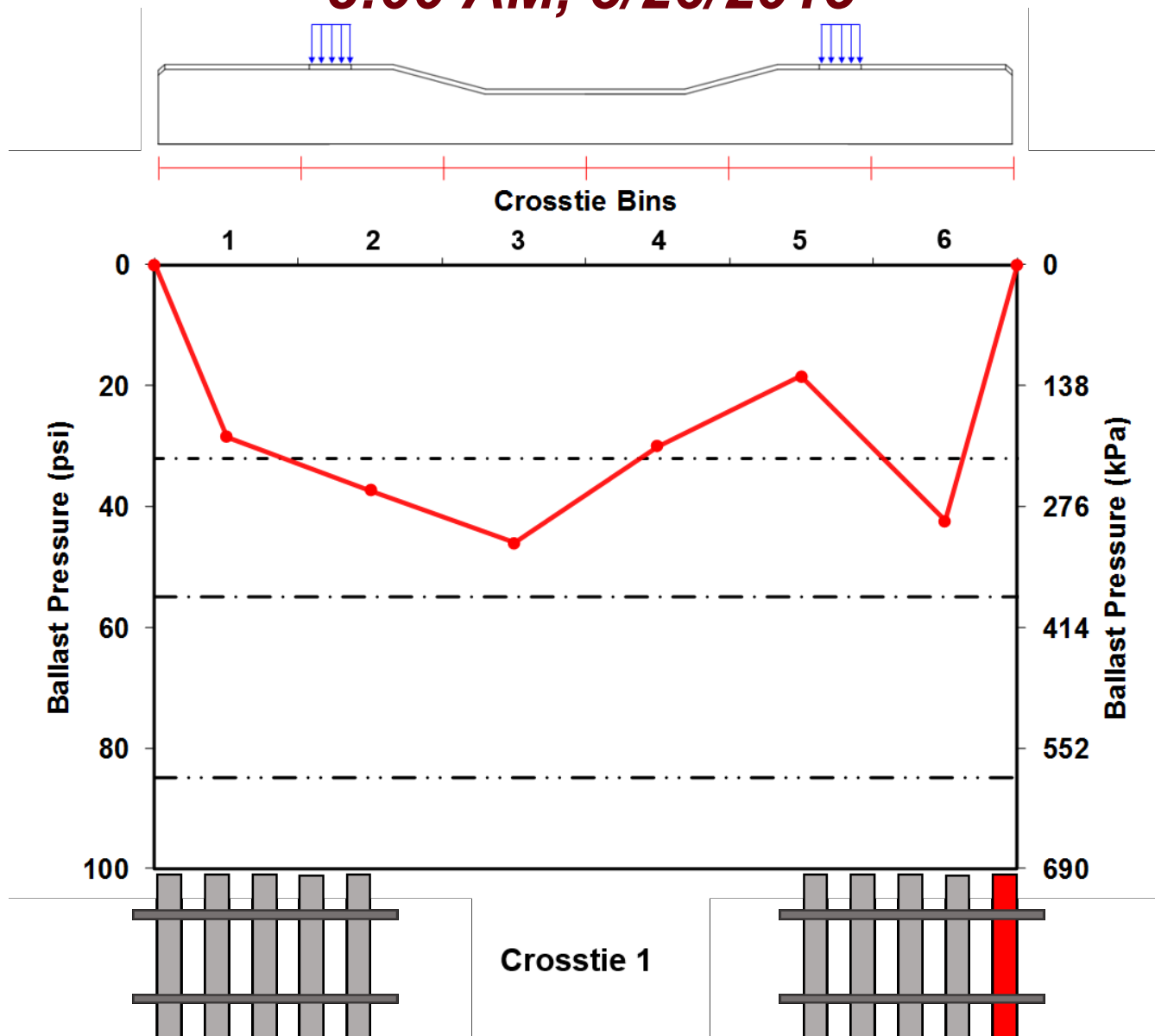
# Distribution of Ballast Pressure under Loaded Axle: 8:00 AM, 5/26/2015



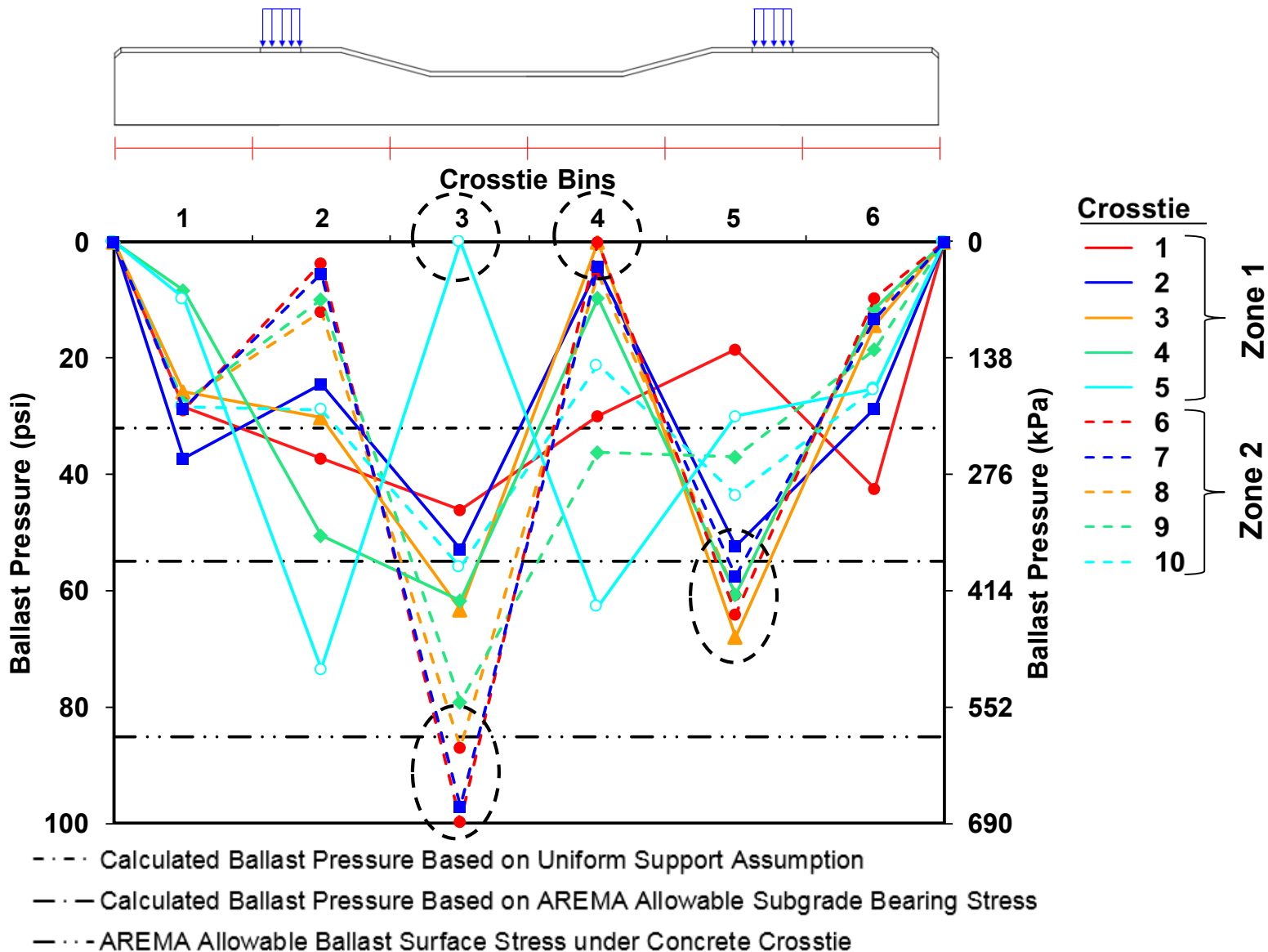
- · - · - Calculated Ballast Pressure Based on Uniform Support Assumption
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- - - - AREMA Allowable Ballast Surface Stress under Concrete Crosstie



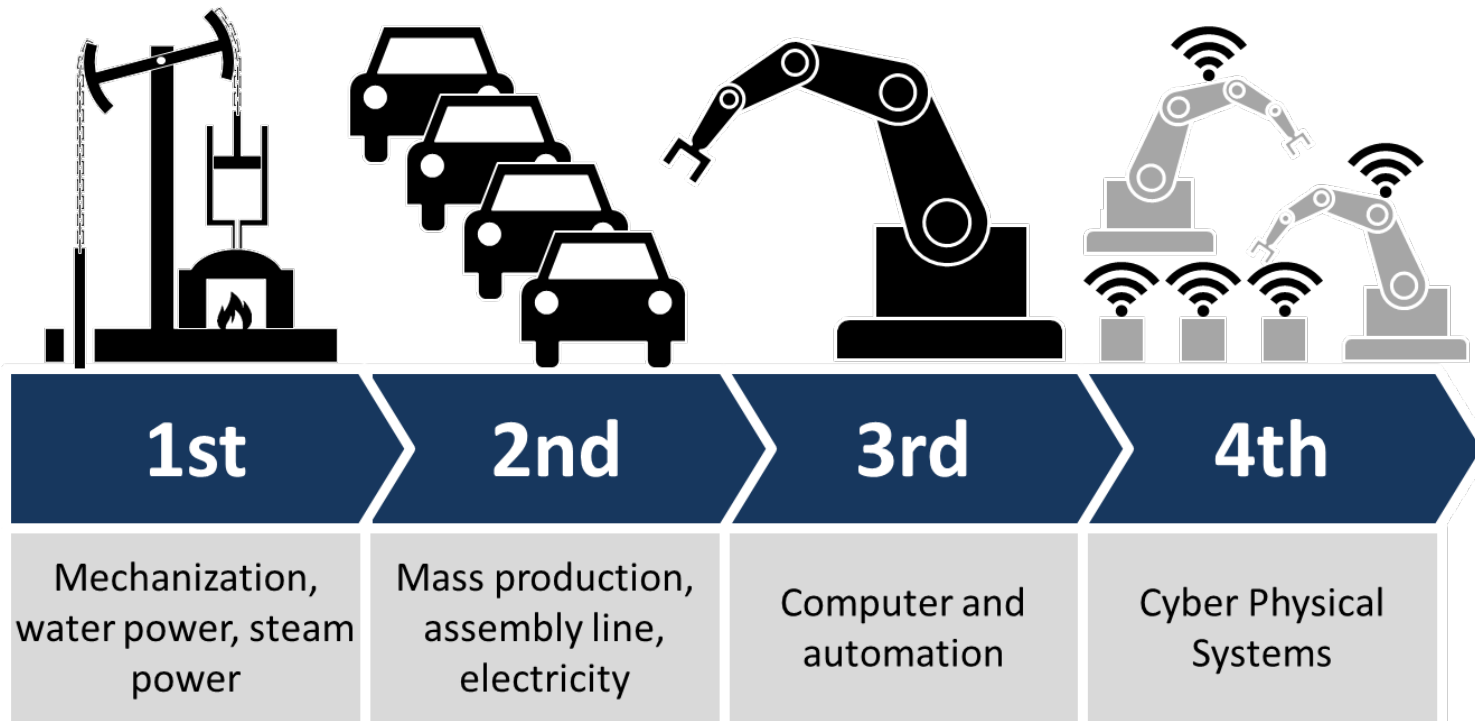
# Distribution of Ballast Pressure under Loaded Axle: 8:00 AM, 5/26/2015



# Distribution of Ballast Pressure under Loaded Axle: 8:00 AM, 5/26/2015



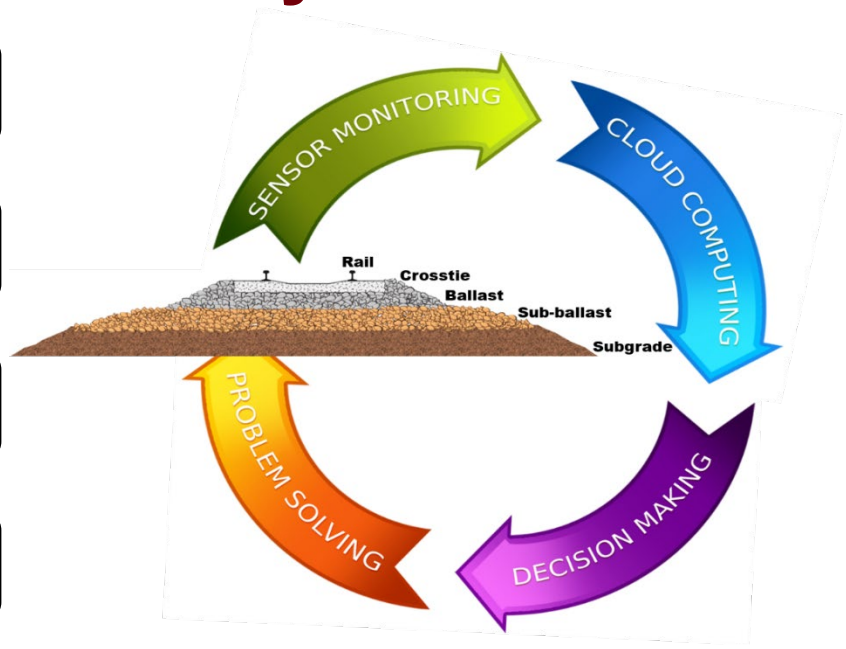
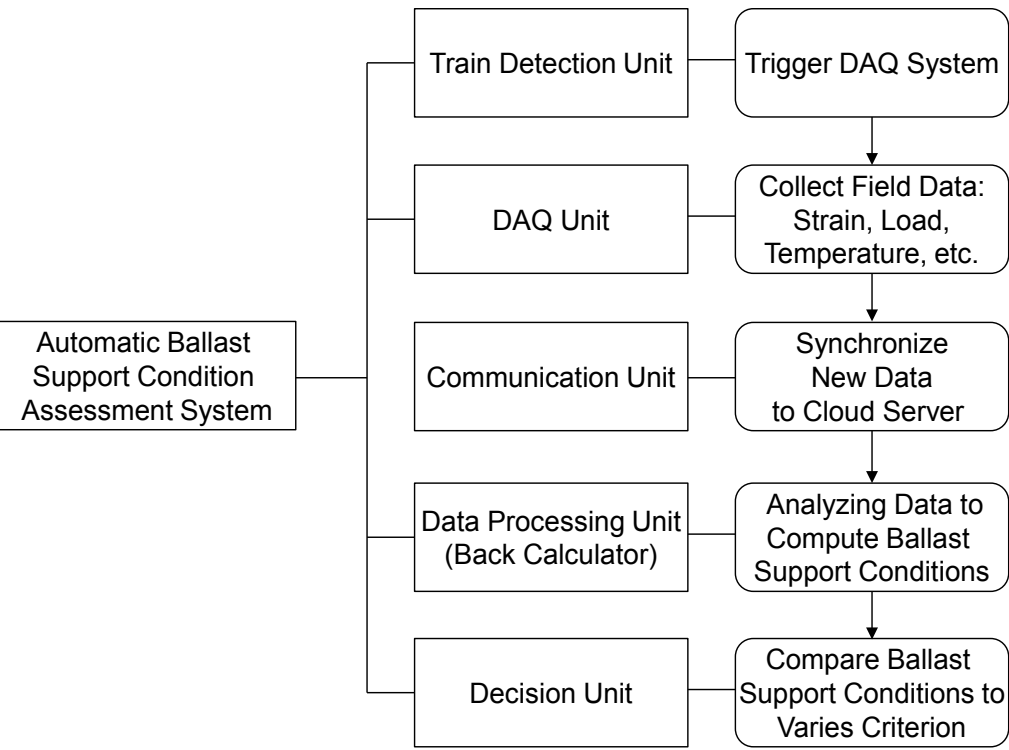
# What is Railroad 4.0?



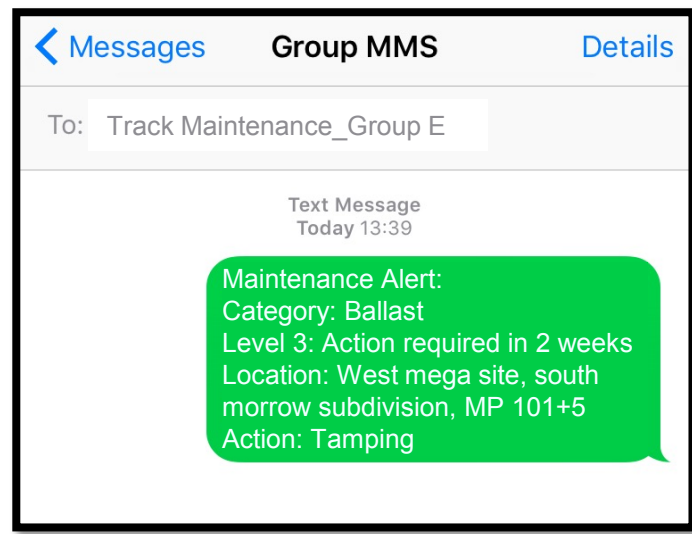
**Industry 4.0** is the current trend of automation and data exchange in manufacturing technologies. It includes cyber-physical systems, the Internet of things and cloud computing. (Wikipedia)

**Railroad Infrastructure 4.0** is the current trend of automation and data exchange in railroad infrastructure . It includes cyber-physical systems, the Internet of things and cloud computing.

# Automatic Track Support Condition Assessment System

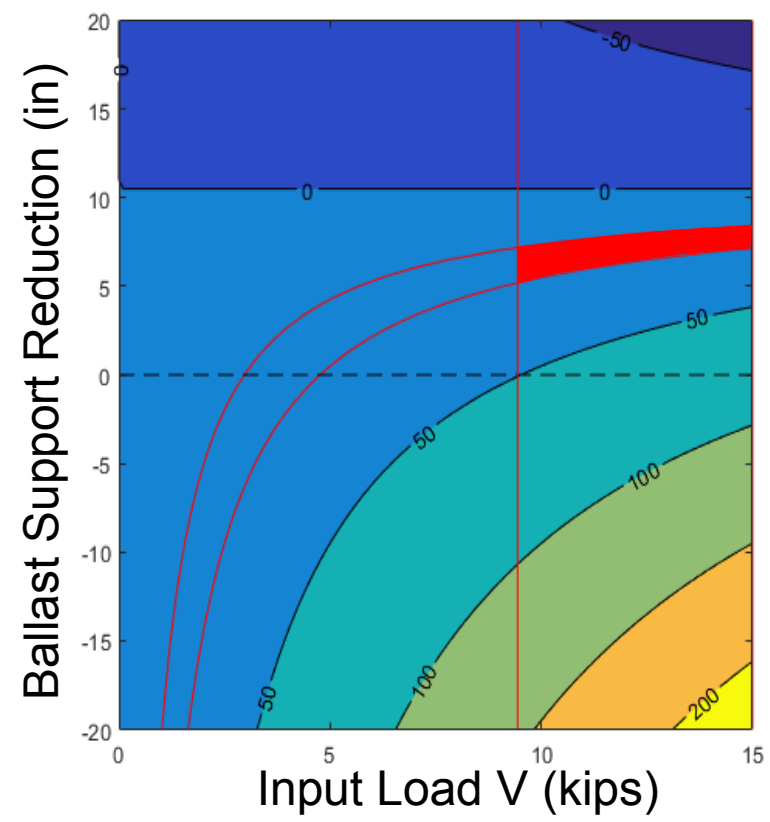


Notify User with simple "go" or "no go"





# Automatic Track Support Condition Assessment System



U.S. Department of Transportation  
Federal Transit Administration

Canga et al. (2017) JRC  
Canga et al. (2017) APTA

Canga et al. (2018) AREMA  
Canga et al. (2018) CBM



# Ballast Pressure Index (BPI)

- A quantifiable value which estimates the uniformity of ballast distribution below a crosstie
- Ballast Pressure Index (BPI) is defined as the calculated ballast pressure, normalized to the theoretical, uniform ballast pressure within each bin

$$BPI = \frac{P_c}{P_u}$$

Where, BPI = Ballast Pressure Index

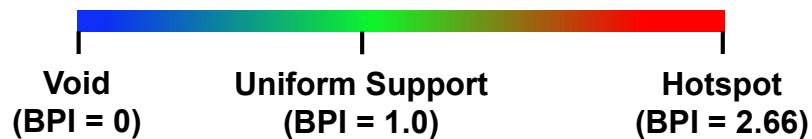
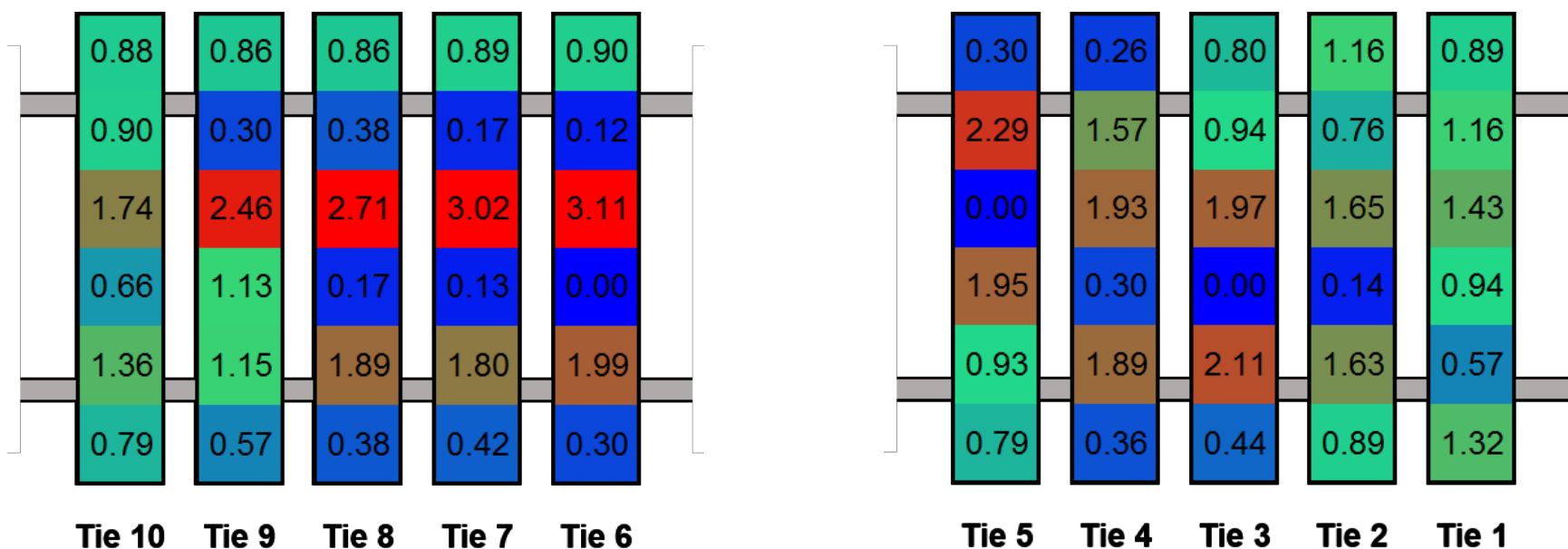
$P_c$  = Pressure calculated from back-calculator

$P_u$  = Pressure based on assumed uniform support

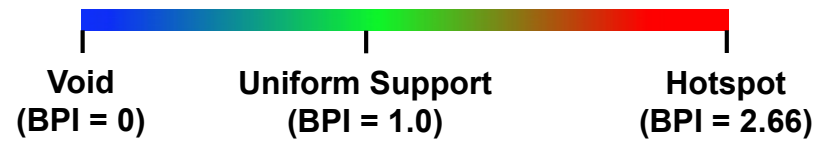
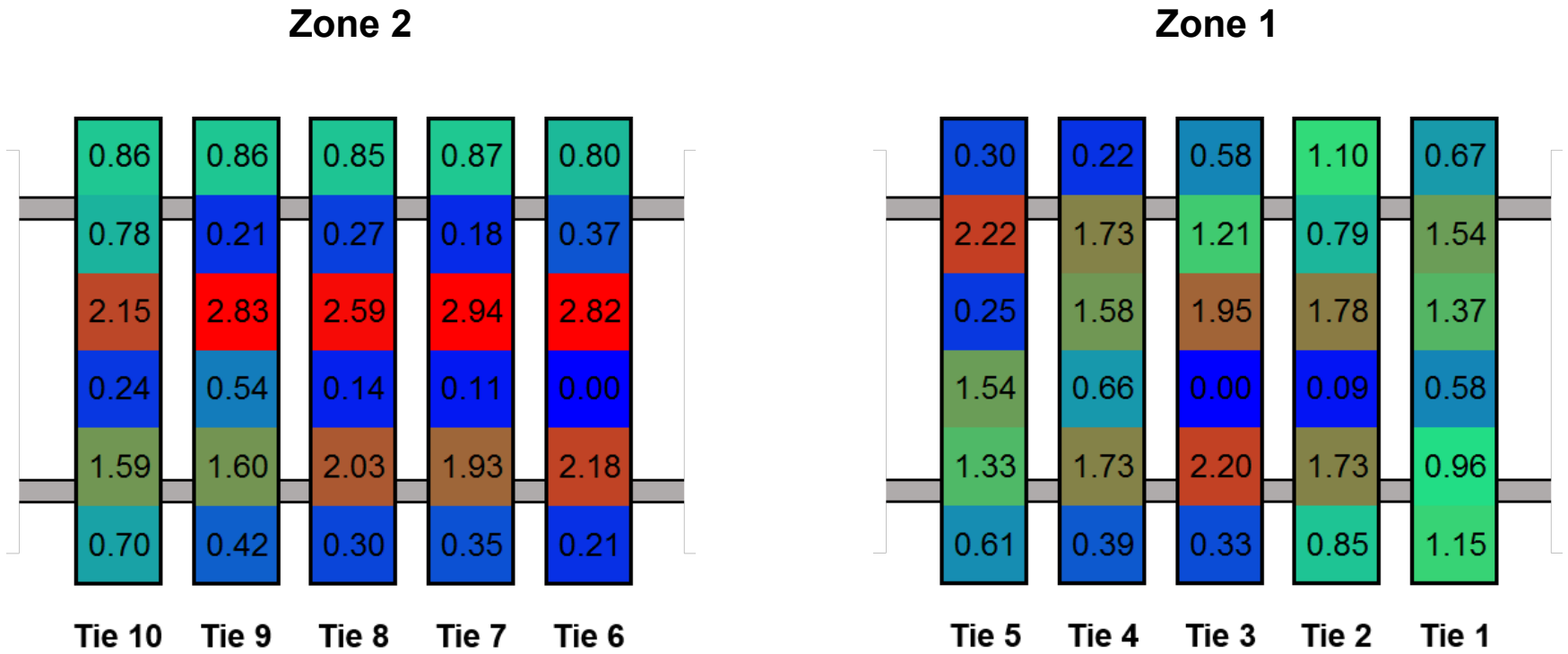
# Ballast Pressure Index for Loaded Axle: 8:00 AM, 5/26/2015

Zone 2

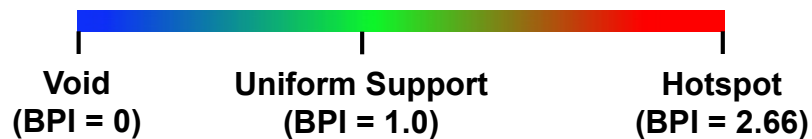
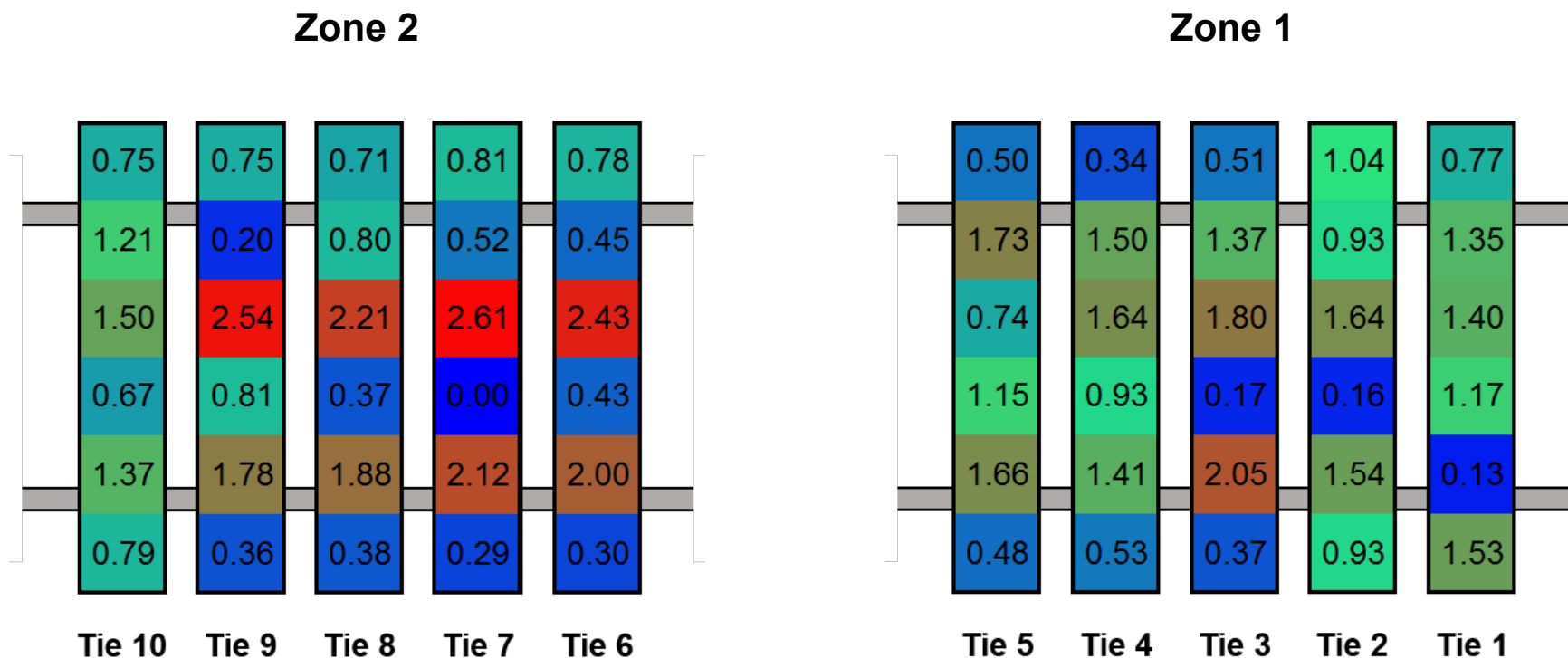
Zone 1



# Ballast Pressure Index for Loaded Axle: 8:00 AM, 7/8/2015



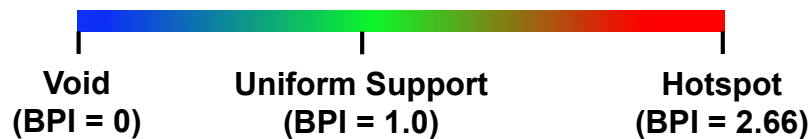
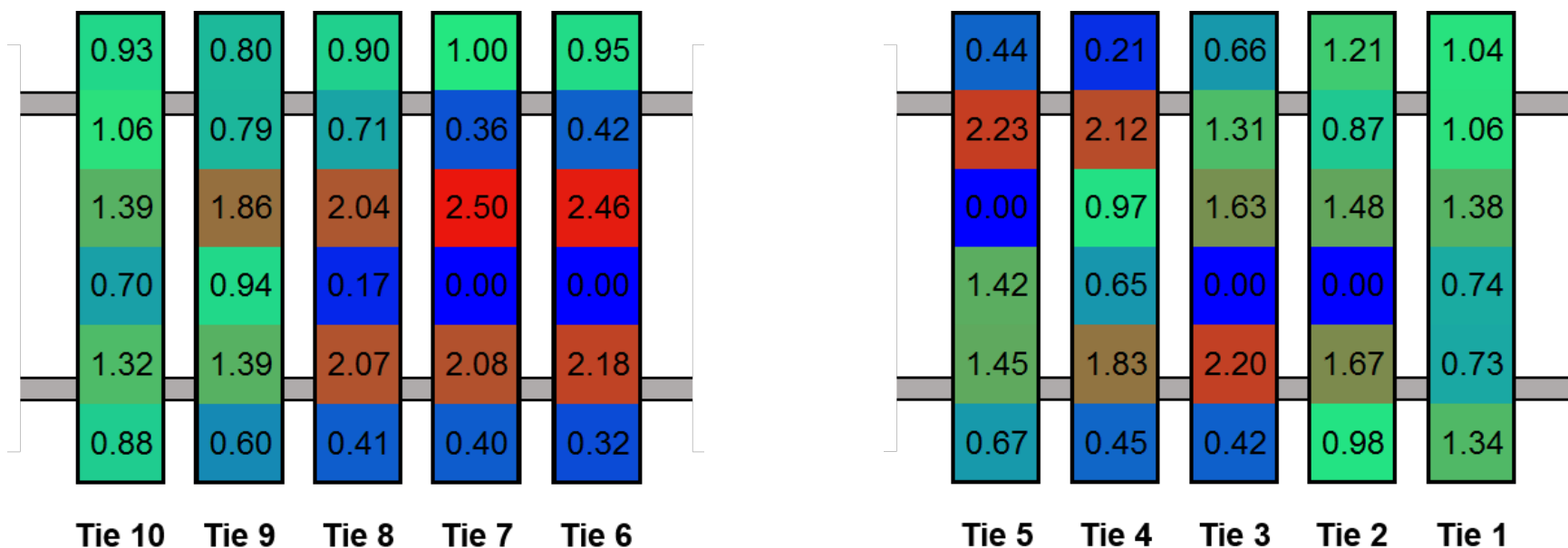
# Ballast Pressure Index for Loaded Axle: 8:00 AM, 8/14/2015



# Ballast Pressure Index for Loaded Axle: *10:00 AM, 8/14/2015*

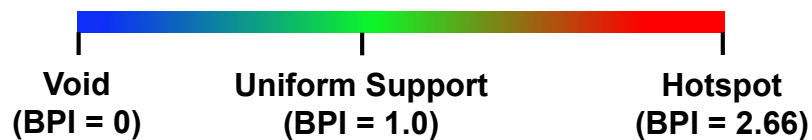
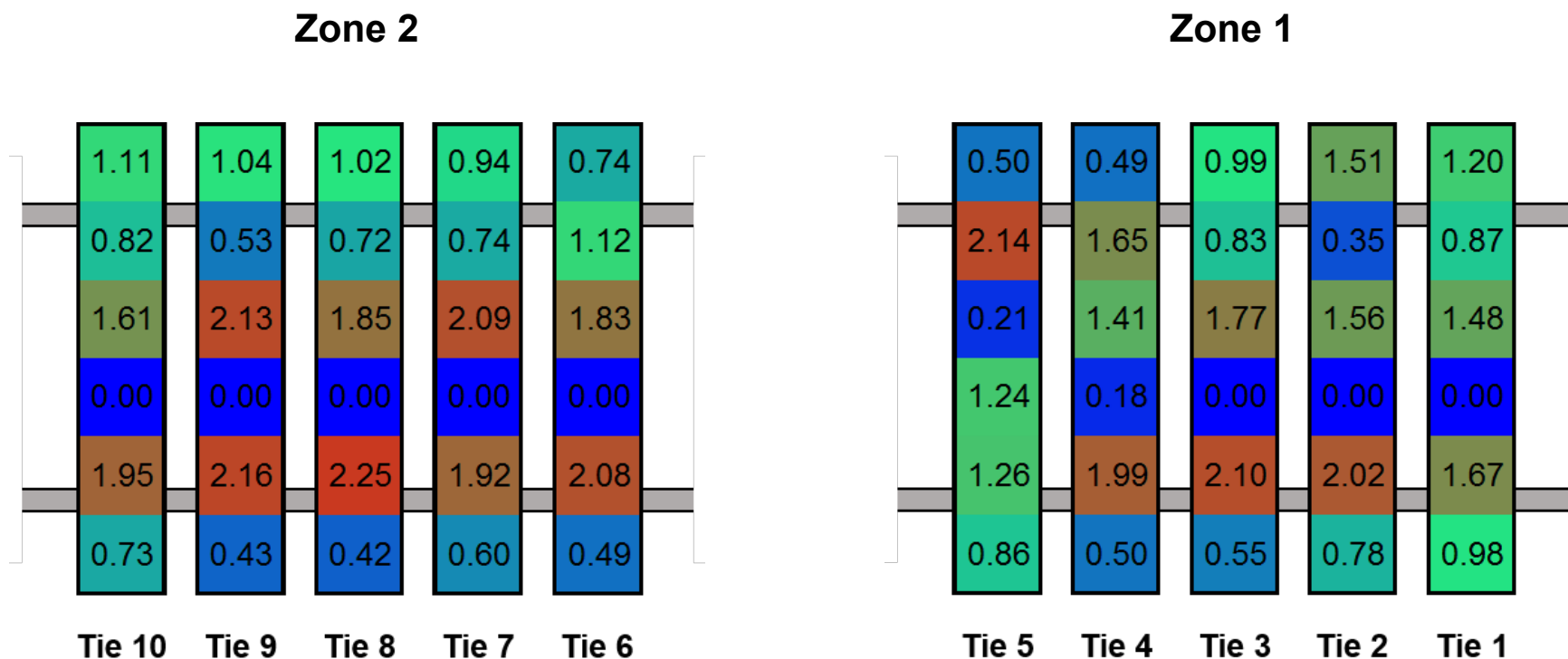
**Zone 2**

**Zone 1**





# Ballast Pressure Index for Loaded Axle: 1:00 PM, 8/14/2015



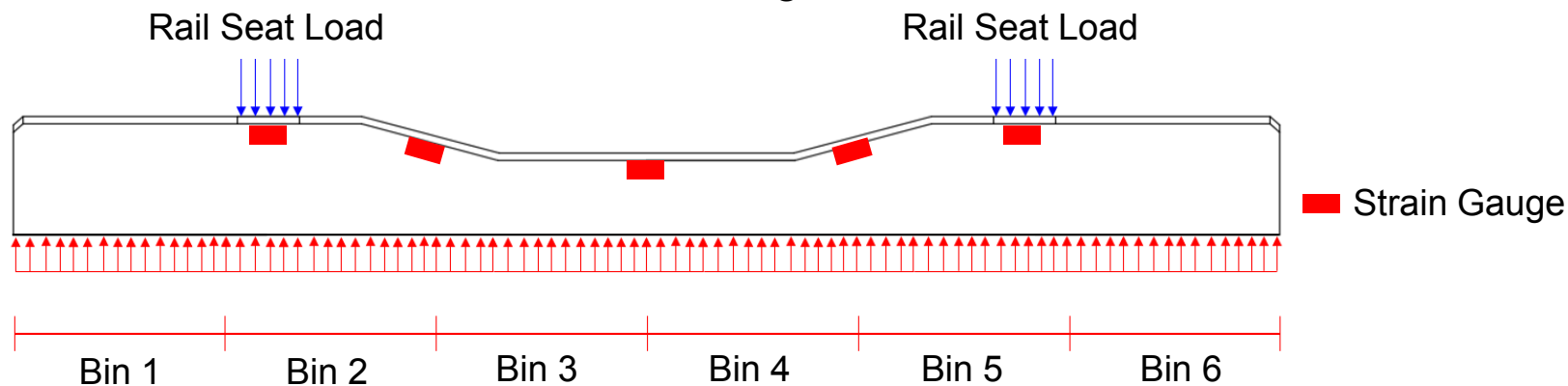
# Outline

- Motivation
- Approach
- Laboratory validation
- Field Application
- Future Development



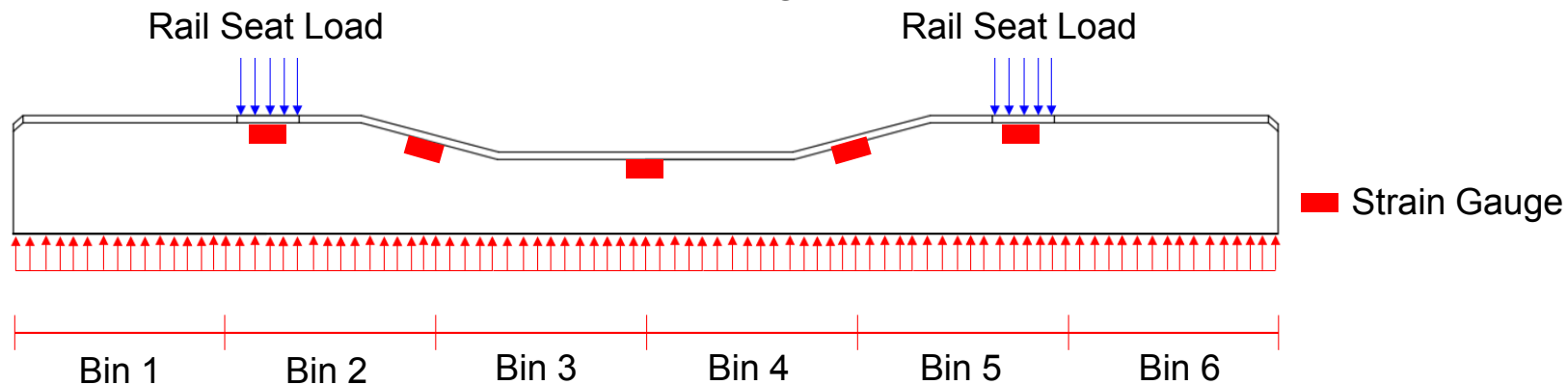
# 2-D Crosstie Bending Model

- Crosstie divided into **6** bins of equal width:
  - Each bin consists a percentage of total reaction force
- 9 model inputs:
  - Known bending moments from 7 locations (**5** from strain gauges, **2** from end conditions)
  - 2 approximated rail seat loads (from load cell, WILD, or rail-mounted strain gauges)
    - Rail seat load is assumed to be uniformly distributed across rail seat
- 2 boundary conditions:
  - Force equilibrium (all bins should sum to approximately 100%)
  - Force value for each bin should not be negative

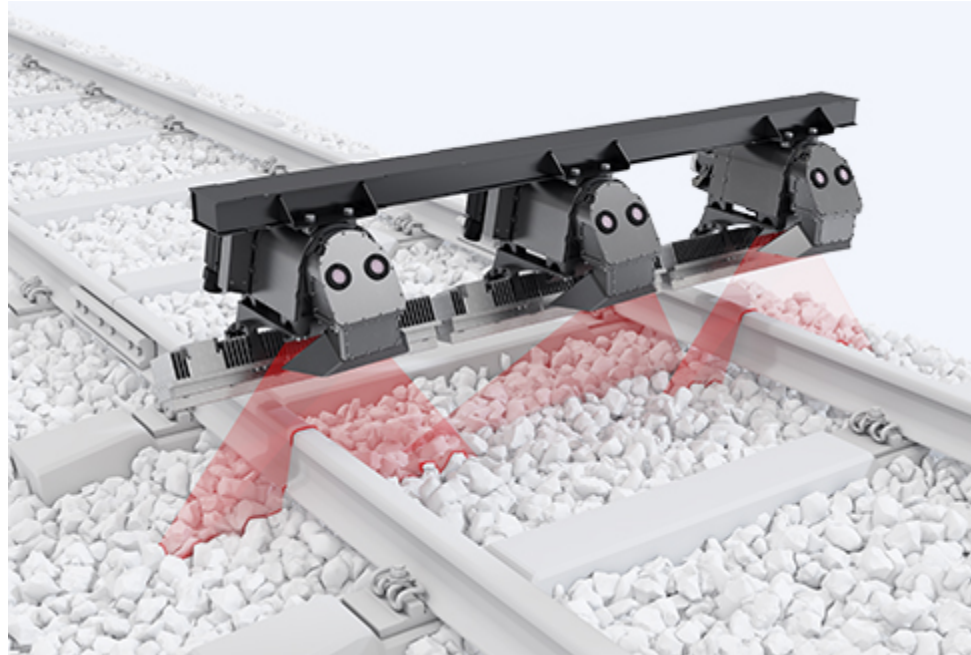


# 2-D Crosstie Bending Model

- Crosstie divided into  $\infty$  bins of equal width:
  - Each bin consists a percentage of total reaction force
- $\infty$  model inputs:
  - Known bending moments from  $\infty$  locations ( $\infty$  from strain gauges, **2** from end conditions)
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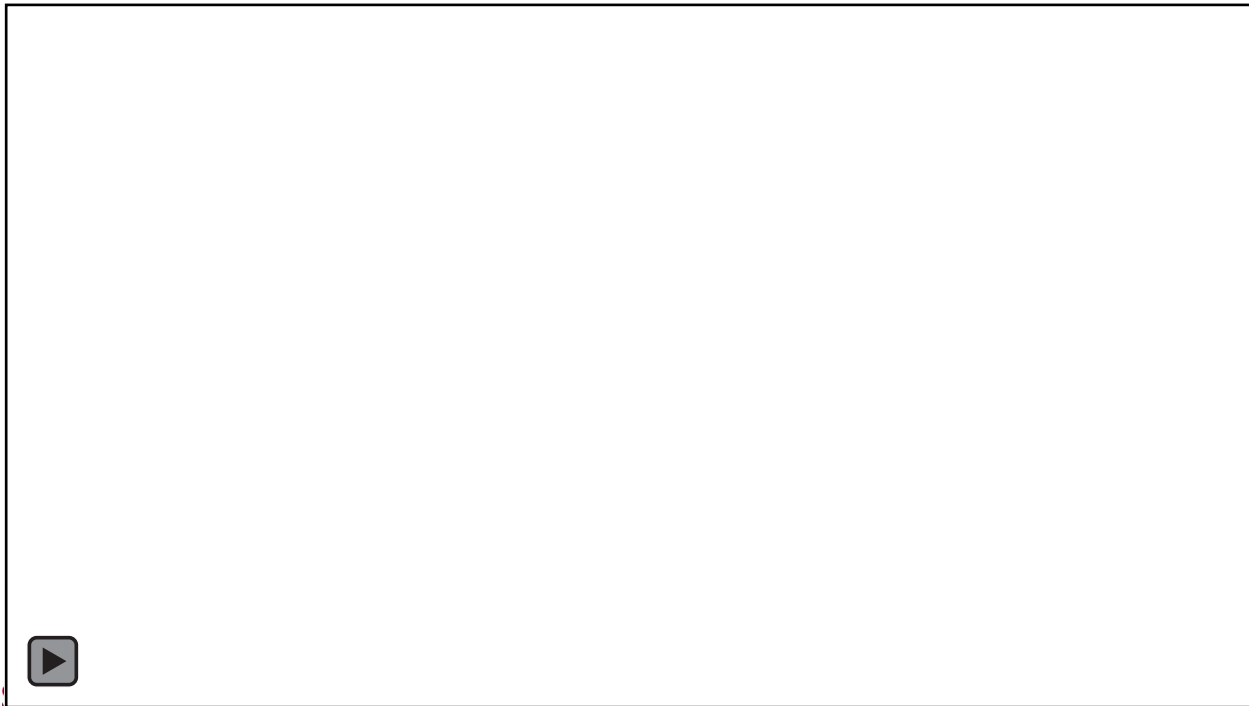
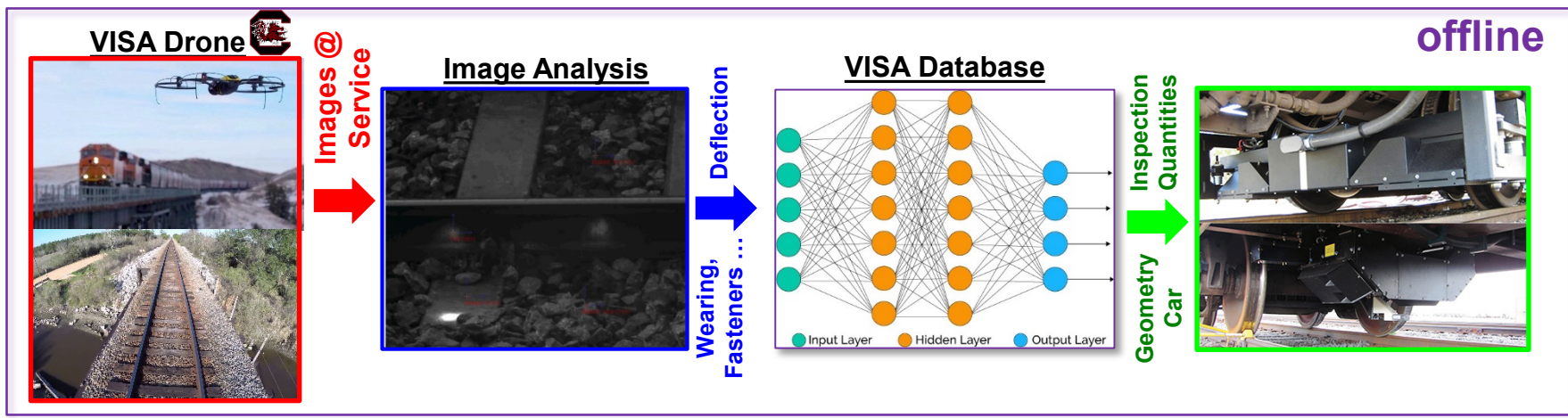
# Methods to Measure Surface Strain



Infinite number of surface strain measure by laser beam

Able to back-calculate crosstie stiffness and input load as long as the number of bins is smaller than the number of the surface strain

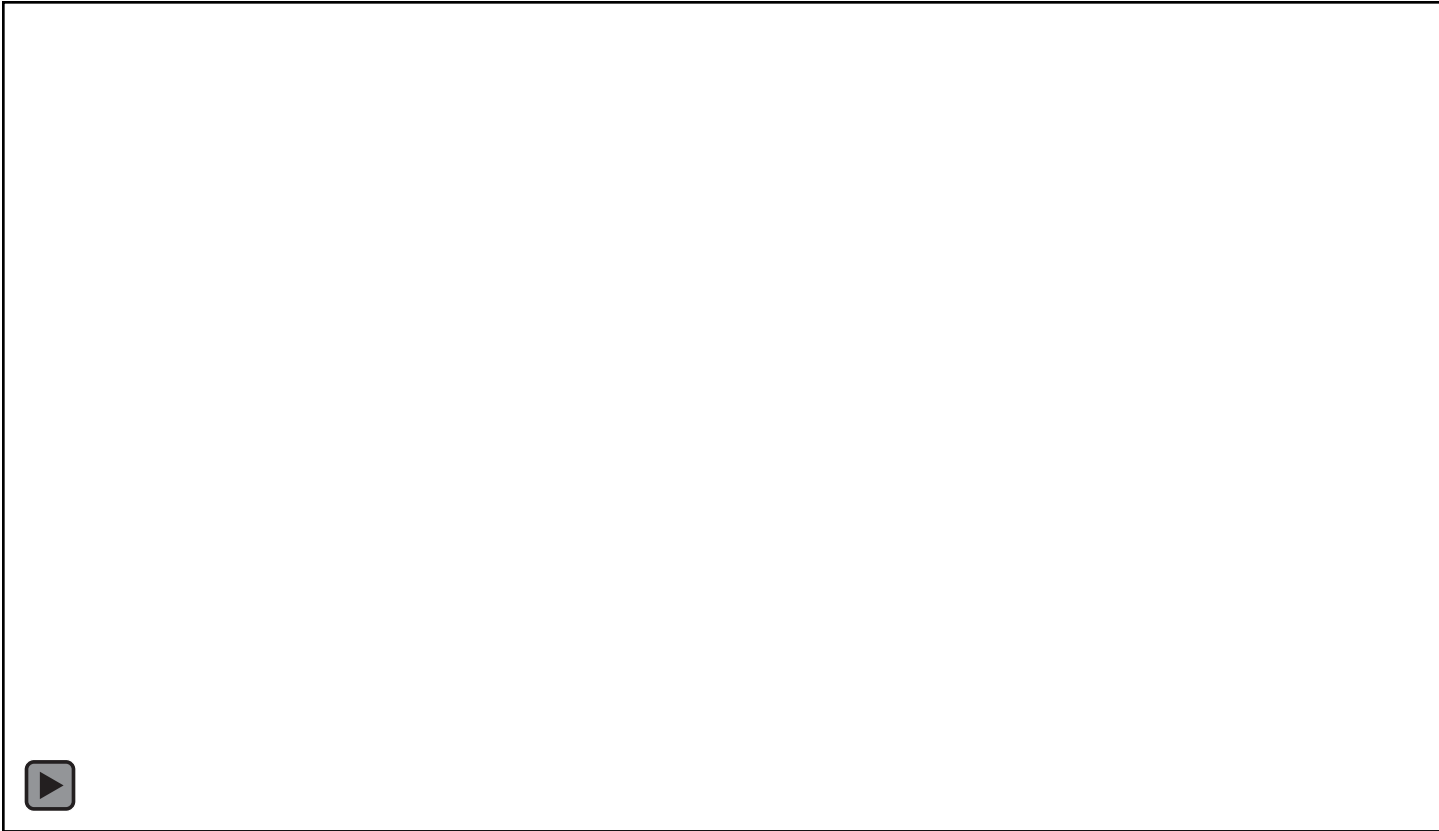
# Methods to Measure Surface Strain



Data Acquisition – What You Can See

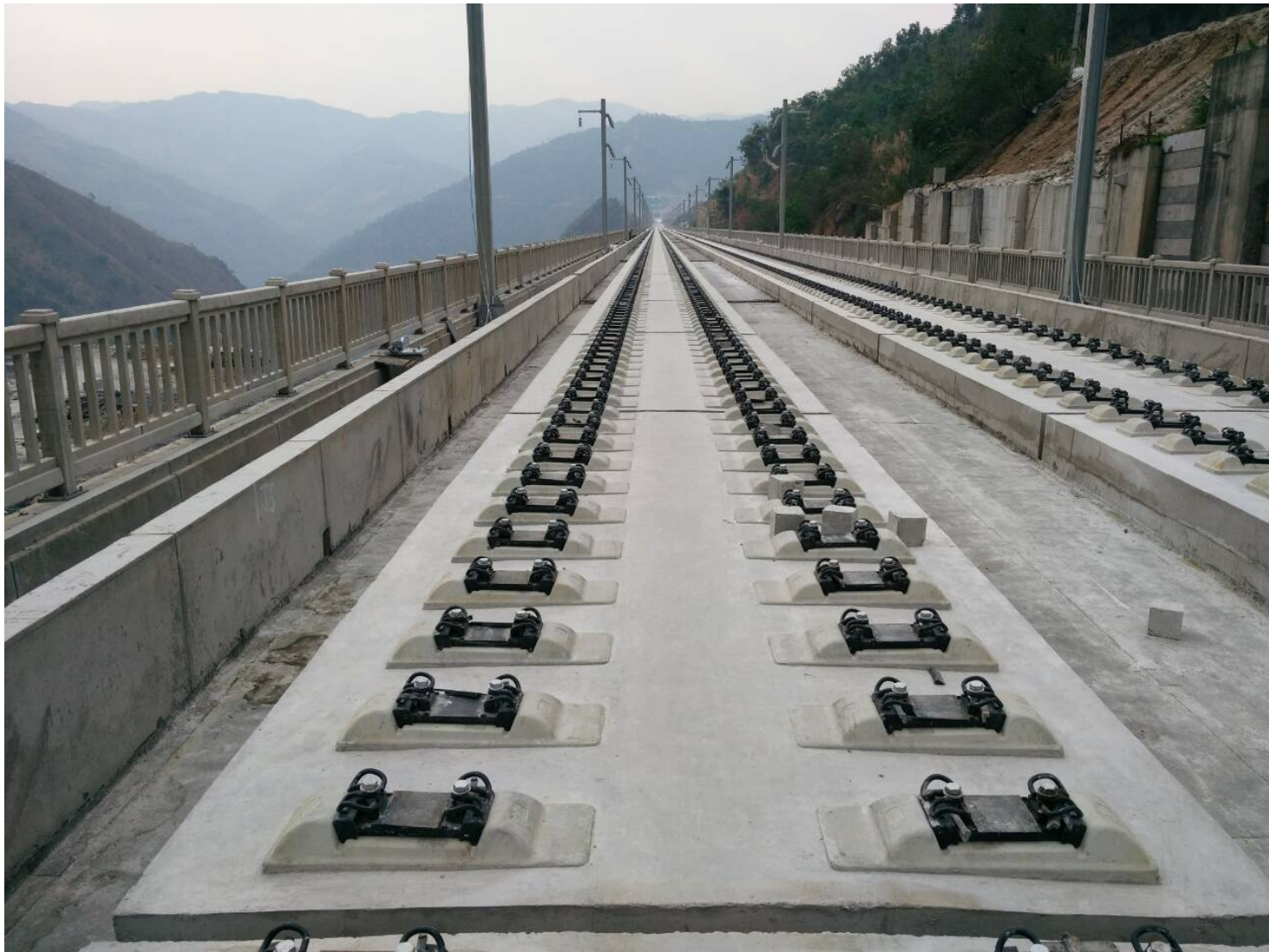


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Data Acquisition – What Drones Can See

# Application in High Speed Rail

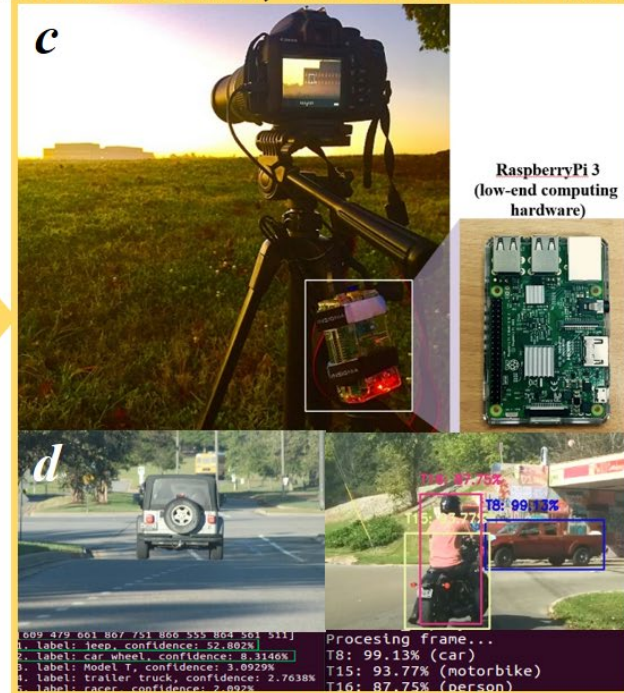


# Related Experience

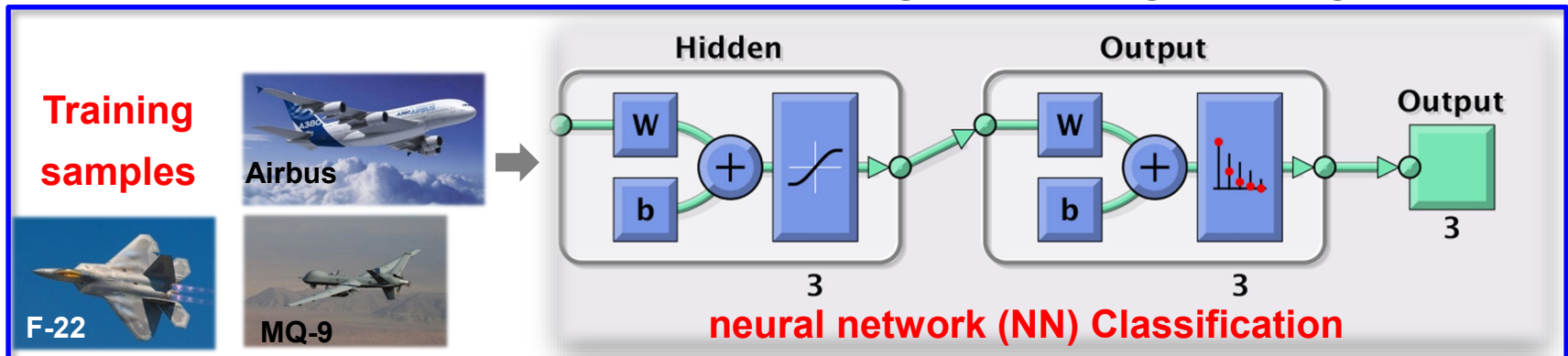
## Deep Learning & Automatic Target Recognition (ATR) Algorithms



## Computing Platform & Fieldable ATR, Threat Assessment

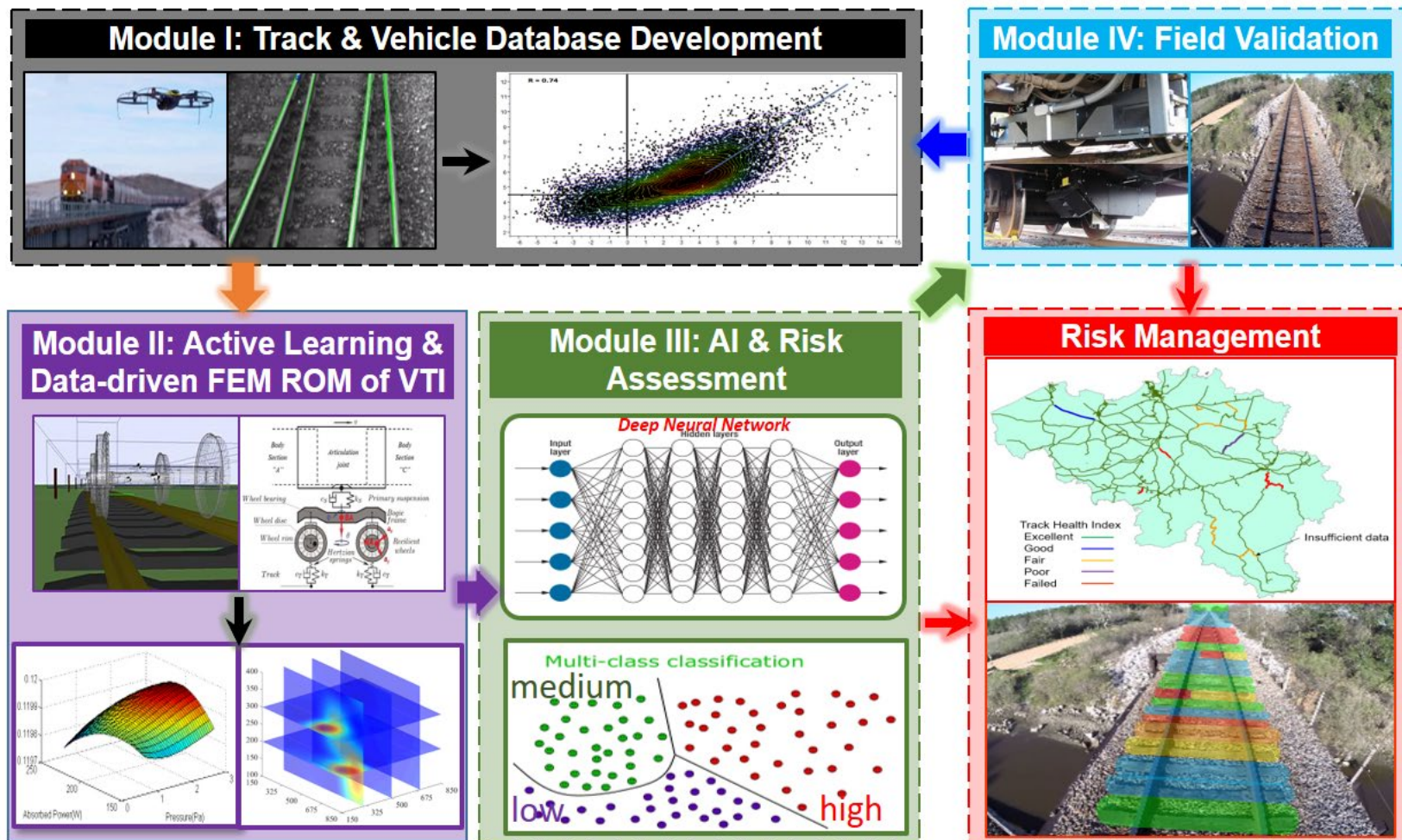


## Feature Selection & Machine Learning-based Target Recognition





# Extended Track Inspection Framework



**intelligent Risk Assessment and Prediction System**

# Contact Information



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